

Solar geoengineering may not prevent strong warming from direct effects of CO₂ on stratocumulus cloud cover

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Discussions of countering global warming with solar geoengineering assume that warming owing to rising greenhouse gas concentrations can be compensated by artificially reducing the amount of sunlight Earth absorbs. However, solar geoengineering may not be fail-safe to prevent global warming because CO₂ can directly affect cloud cover: it reduces cloud cover by modulating the longwave radiative cooling within the atmosphere. This effect is not mitigated by solar geoengineering. Here we use idealized high-resolution simulations of clouds to show that even under a sustained solar geoengineering scenario with initially only modest warming, subtropical stratocumulus clouds gradually thin and may eventually break up into scattered cumulus clouds, at concentrations exceeding 1700 ppm. Because stratocumulus clouds cover large swaths of subtropical oceans and cool Earth by reflecting incident sunlight, their loss would trigger strong (about 5 K) global warming. Thus, the results highlight that, at least in this extreme and idealized scenario, solar geoengineering may not suffice to counter greenhouse-gas driven global warming.

Solar geoengineering is predicated on the notion that the global effects of perturbations to the climate system principally depend on the net radiative energy balance at the top of the atmosphere (TOA). Elevated greenhouse gas (GHG) concentrations make the atmosphere more opaque to thermal longwave radiation. Hence, immediately after a rise of GHG concentrations, the longwave radiative energy fluxes emanating from TOA weaken. The climate system responds by warming globally, until TOA balance between outgoing longwave and absorbed solar radiative energy fluxes is restored. Solar geoengineering attempts to shortcircuit this process by artificially reducing the amount of solar radiation absorbed in the climate system, thus obviating the global warming response of the climate system. Simulations with multiple climate models have shown that global warming owing to rising GHG concentrations indeed can be fully or partially compensated by reducing the amount of solar radiation that is being absorbed. This can be achieved, for example, by injecting scattering aerosols into the stratosphere (1–5). There usually are some regional disparities in the degree of compensation (6–8). Additionally, global-mean evaporation and precipitation weaken even when greenhouse warming is compensated by solar geoengineering. This occurs because the reduced solar radiative energy available to evaporate surface water is not completely compensated by a weakened longwave radiative cooling of the surface, even when the TOA compensation of radiative fluxes is complete (9, 10). Of course, non-radiative effects of elevated GHG concentrations, such as ocean acidification and ecosystem effects, remain uncompensated by solar geoengineering (3, 11, 12).

Other risks of solar geoengineering include moral hazards and governance issues, particularly related to what is known as the termination shock—the rapid realization of warming avoided up to that point if solar geoengineering were started and at a later time, after more GHGs have accumulated, suddenly stopped (12–15).

But there is another set of risks of solar geoengineering that has not received the attention it deserves. It arises through direct effects of GHGs on clouds. It is well known that elevated GHG concentrations directly reduce or thin cloud cover because they modify the longwave radiative cooling within the atmosphere, even without any surface temperature changes but possibly amplified by them (16–20). Stratocumulus cloud decks over subtropical oceans, especially, are vulnerable to changes in longwave cooling: They are sustained by longwave cooling at their cloud tops, which drives turbulent air motions from the cloud tops downward and thereby couples stratocumulus decks to their moisture supply at the surface (21, 22) (Fig. 1). This longwave cooling weakens as GHGs such as CO₂ and water vapor accumulate in the atmosphere, in much the same way that the capacity of Earth’s surface to cool itself radiatively is lower in humid nights than in dry. Weakening cloud-top radiative cooling in turn thins the clouds and reduces the amount of incident sunlight they reflect back to space (23–26). Because stratocumulus decks cover large swaths of tropical oceans, their albedo effect cools Earth glob-

Significance Statement

Solar geoengineering that manipulates the amount of sunlight Earth absorbs is increasingly discussed as an option to counter global warming. However, we demonstrate that solar geoengineering is not a fail-safe option to prevent global warming because it does not mitigate risks to the climate system that arise from direct effects of greenhouse gases on cloud cover. High-resolution simulations of stratocumulus clouds show that clouds thin as greenhouse gases build up, even when warming is modest. In a scenario of solar geoengineering that is sustained for more than a century, this can eventually lead to breakup of the clouds, triggering strong (5°C), and possibly difficult to reverse, global warming despite the solar geoengineering.

T.S. designed the study, analyzed results, and wrote the paper. C.M.K. and K.G.P. implemented the study design numerically, conducted the large-eddy simulations, and analyzed and visualized the results.

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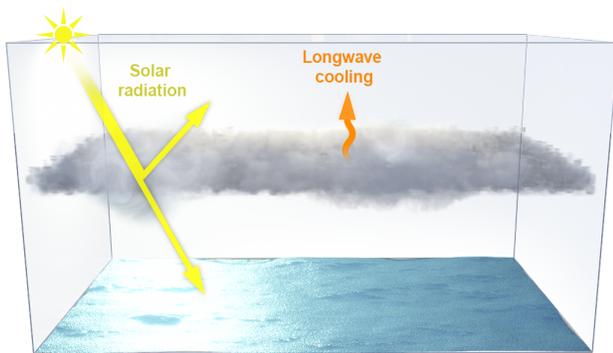


Fig. 1. Radiative energy fluxes at marine stratocumulus decks. Stratocumulus decks cool the surface by reflecting solar radiation. They are sustained by longwave radiative cooling of their cloud tops, which drives air motions downward and convectively connects the clouds to their moisture supply at the sea surface. When the concentration of GHGs such as CO₂ and water vapor increases, the longwave cooling of the cloud tops weakens, leading to cloud thinning and possibly, at high enough GHG concentrations, to breakup. Because these processes act through longwave radiation, they can lead to strong surface warming even under solar geoengineering scenarios.

ally. Subtropical marine stratocumulus clouds currently lower Earth's surface temperature by about 8 K in the global mean compared with what it would be if they were replaced by scattered cumulus clouds (27). Hence, elevated GHG concentrations may trigger substantial global warming by reducing the cooling effect stratocumulus clouds provide, even when all or much of the effect of GHGs at TOA is compensated by solar geoengineering.

We recently showed that stratocumulus decks may become unstable and break up at CO₂ concentrations above around 1,200 ppm. This would trigger global warming of up to about 8 K in addition to the warming that arose from the elevated CO₂ concentrations before the clouds broke up (27). Surface warming that led to enhanced evaporation and weakened cloud-top longwave cooling both played important roles in the stratocumulus instability. We obtained these results in a large-eddy simulation (LES) setup that inverts the standard approach in climate modeling: Instead of simulating the large-scale dynamics of the atmosphere explicitly in a general circulation model (GCM) while representing the important smaller-scale dynamics of clouds semi-empirically, as is common, we simulated the dynamics of clouds explicitly and represented large-scale dynamics semi-empirically. This approach complements GCM studies by focusing computational effort not on large-scale dynamics, as in GCMs, but on clouds—one of the principal uncertainties in the global climate response to elevated GHG concentrations. Here we use the same simulation setup to investigate how stratocumulus decks respond to elevated GHG concentrations in an idealized solar geoengineering scenario.

1. Simulation Setup

As have many previous LES studies (23, 25, 28), we explicitly simulate the dynamics of the atmosphere over a patch of subtropical ocean (a 4.8×4.8 km² area). The conditions in the patch are typical of summertime in areas that currently have persistent stratocumulus cover, such as off the coasts of California, Peru/Chile, or Namibia. We take the subtropical

LES domain to be representative of 6.5% of the area of the globe—roughly the fraction of the globe currently covered by subtropical marine stratocumulus clouds (27). The subtropical LES domain is coupled (a) to the underlying sea surface temperature (SST) through radiative and other energy fluxes (26, 29), and (b) to simple but physically plausible representations of large-scale atmosphere motions, including energy and water transports and a column model of the tropical atmosphere (27, 30). As shown in our previous study (27), a baseline simulation with 400 ppm CO₂ (approximately today's level) reproduces subtropical stratocumulus decks similar to those observed, with realistic underlying SSTs of 290 K in the subtropics and 300 K in the tropics.

Taking this baseline simulation as the point of departure, we increase CO₂ concentrations while reducing TOA insolation uniformly by 3.7 W m^{-2} for every CO₂ doubling. The magnitude of the insolation reduction is comparable to the global-mean longwave radiative forcing of CO₂ at TOA in climate models (16, 31, 50); in our modeling setup, it compensates about 70% of the longwave radiative forcing of CO₂ (Materials and Methods). Thus, a portion of the longwave radiative effect of CO₂ at TOA remains uncompensated, as in other solar geoengineering studies (e.g., 1, 8). The insolation reduction crudely mimics solar geoengineering, for example, by stratospheric aerosol injection (3, 10); we refer to these simulations as solar geoengineering simulations.

We performed three sets of solar geoengineering simulations: one in which the large-scale subsidence above the clouds in the subtropics is kept fixed; one in which the large-scale subsidence weakens moderately with warming (by 1% for each 1 K tropical surface warming); and one in which the large-scale subsidence weakens more strongly (by 3% K⁻¹). The simulations with weakened subsidence are motivated by the fact that tropical circulations generally weaken under warming, primarily because weakened vertical mass fluxes are required to balance differential changes in precipitation and in atmospheric moisture content (or moisture stratification) under warming (32–35). Additionally, tropical circulations weaken because radiative effects of increasing greenhouse gas concentrations vary with latitude; however, this direct GHG effect is weak and of inconsistent sign in subtropical stratocumulus regions (36). Because it is unclear how much subsidence in subtropical stratocumulus regions (as opposed to in the tropical mean) weakens when both greenhouse gas concentrations increase and insolation is reduced, we explore the scenarios of no, 1% K⁻¹, and 3% K⁻¹ subsidence weakening as illustrative cases. We hope these span what may happen in reality or in more comprehensive models, which will eventually be needed to refine or refute our results (see Materials and Methods).

2. Results

A. Simulation Results with Fixed Subsidence. In the simulations with fixed subsidence, when we double CO₂ concentrations from 400 to 800 ppm while reducing insolation, cloud cover in the subtropics remains at 100%, subtropical SST rise 1.2 K, and tropical SST rise 1.5 K (Fig. 2a, d, e). This is roughly half the SST increase in the corresponding simulations without solar geoengineering, in which subtropical SST rose 2.2 K and tropical SST rose 3.6 K (27). Surface temperatures still increase although the insolation is reduced in the solar geoengineering simulations, in part because some of the radiative

153 forcing of CO₂ is uncompensated by our solar geoengineering, 214
154 and in part because the stratocumulus decks thin (Fig. 2b). 215
155 The stratocumulus thinning in the solar geoengineering simu- 216
156 lations is, to within sampling variability, the same as in the 217
157 simulations without solar geoengineering (27): in either case, 218
158 liquid water path (LWP) in the clouds decreases by about 219
159 2.5 μm per doubling of CO₂ concentrations. This indicates 220
160 that it is primarily the direct CO₂ effect that is responsible 221
161 for the cloud thinning, rather than the surface warming. The 222
162 stratocumulus thinning reduces the shortwave cloud radiative 223
163 effect (CRE, Fig. 2c)—the reflection of sunlight by the 224
164 clouds weakens. As a result, the absorption of sunlight in the 225
165 subtropics strengthens, leading to surface warming, which is 226
166 moderated by energy transport that, in our model, spreads 227
167 excess energy accumulating in the subtropics homogeneously 228
168 across the globe. The excess solar energy absorbed in the sub- 229
169 tropics as a result of cloud thinning (7.8 W m⁻², see Fig. 2c) 230
170 is about an order of magnitude larger than the uncompensated 231
171 CO₂ radiative forcing at TOA (about 0.8 W m⁻²). 232

172 The same general tendencies of moderate SST increases, 233
173 thinning clouds, and reduced shortwave CRE, but with cloud 234
174 coverage remaining at 100%, continue up to CO₂ concentra- 235
175 tions of 1,700 ppm. When CO₂ concentrations are increased 236
176 further to 1,800 ppm, the stratocumulus decks become un- 237
177 stable and break up into scattered cumulus clouds, as in the 238
178 simulations without solar geoengineering (27). Cloud coverage 239
179 collapses from 100% to 30%, and shortwave CRE drops from 240
180 155 W m⁻² (400 ppm) to 26 W m⁻² (1,800 ppm), triggering 241
181 an abrupt subtropical SST increase of 7 K and a tropical SST 242
182 increase of 5 K (Fig. 2). These temperature jumps are slightly 243
183 smaller than in the simulations without geoengineering (27) 244
184 because they occur at reduced insolation, when the tempera- 245
185 ture effect of the albedo drop associated with cloud breakup 246
186 is weaker. As in the simulations without geoengineering, the 247
187 tropical SST increase can be taken as a proxy of the induced 248
188 global warming because the excess energy accumulating in the 249
189 subtropics is assumed to be spread homogeneously into the 250
190 tropics and into other areas of the globe. 251

191 The simulations exhibit bistability as a function of CO₂ 252
192 concentration, which leads to hysteresis (27). When CO₂ 253
193 concentrations are lowered again after stratocumulus decks 254
194 have broken up, the stratocumulus decks only reform once 255
195 CO₂ concentrations drop below 300 ppm (Fig. 2a–c)—a level 256
196 below present-day concentrations. Until the stratocumulus 257
197 decks reform, the climate in our model remains on a warm 258
198 branch: the subtropical SSTs lie about 8 K and tropical SSTs 259
199 about 5 K above the simulations in the colder branch, which 260
200 have stratocumulus decks at the same CO₂ concentrations 261
201 (Fig. 2d, e). 262

202 **B. Instability and Bistability Mechanism.** A minimal concep- 263
203 tual model (21) suggests that stratocumulus decks decouple 264
204 from their moisture supply at the surface and break up when 265
205 the instability parameter $S = (\text{LHF}/\Delta L) \times (h_c/h)$ exceeds a 266
206 critical $O(1)$ value. Here, ΔL is the longwave cooling of the 267
207 cloud tops, LHF is the latent heat flux at the surface, h_c is 268
208 the geometric thickness of the cloud, and h is the cloud-top 269
209 height (27). The critical value of the instability parameter S 270
210 lies approximately between 0.6 and around 1 (depending on 271
211 the efficiency with which turbulence entrains dry and warm 272
212 air from the free troposphere into the cloud). 273

213 In our simulations, the instability parameter S gradually 274

increases from 0.4 at 400 ppm to 0.7 at 1,700 ppm, before 214
the breakup at 1,800 ppm (Fig. 3d). The primary contributor 215
to the increase in S is the weakening longwave cooling ΔL : 216
it weakens by 22% as CO₂ concentrations rise from 400 to 217
1,700 ppm (Fig. 3a). The cloud-top cooling weakens because 218
the longwave opacity of the atmosphere increases with increas- 219
ing CO₂ concentrations. Increasing water vapor concentrations 220
owing to the moderate warming in the simulations also con- 221
tribute to the opacity increase. The water vapor feedback in 222
the simulations without geoengineering was responsible for 223
about 50% of the change in longwave cooling, as determined 224
by offline radiative transfer calculations (27). Given that the 225
warming in the solar geoengineering simulations is about a 226
factor 2 weaker, we estimate the water vapor contribution 227
to the change in longwave cooling to be about 25% in the 228
solar geoengineering simulations. Strengthening evaporation 229
(LHF), associated with surface warming, also contributes to 230
the increase in S . Its contribution is smaller than the changes 231
in longwave cooling: LHF strengthens by 17% from 400 to 232
1,700 ppm (Fig. 3b). The fraction h_c/h of boundary layer 233
thickness occupied by cloud does not change substantially 234
because geometric cloud thickness h_c and the boundary-layer 235
height h decrease roughly in unison (Fig. 3c). Thus, what 236
sets off the instability of the stratocumulus decks is primarily 237
the weakening longwave cooling of the cloud tops, augmented 238
by evaporation enhancement. Eventually, the turbulent air 239
motions that sustain the clouds decouple from the moisture 240
supply at the surface, leading to breakup. 241

At the breakup, longwave cooling drops by a factor 10 (with 242
the factor 3 drop in cloud fraction contributing a part), and 243
evaporation jumps by a factor 1.6 (Fig. 3). The central nonlin- 244
earity responsible for the large changes in longwave cooling and 245
evaporation and ultimately for the bistability and hysteresis is 246
the interaction with the surface that accompanies the breakup. 247
The large changes in longwave cooling and evaporation arise 248
because when the reflection of solar radiation by the clouds 249
weakens, the surface warms, and the atmosphere moistens 250
(35). The added water vapor is in itself a GHG, suppressing 251
longwave cooling and preventing the stratocumulus decks from 252
reforming immediately when CO₂ concentrations are lowered 253
again. Additionally, the enhanced evaporation in a warmer 254
climate amplifies these processes because it in itself increases 255
 S and suppresses stratocumulus clouds (21). The role of water 256
vapor in the instability also explains why the breakup of the 257
stratocumulus decks occurs at higher CO₂ concentrations in 258
the simulations with solar geoengineering than in those with- 259
out: Water vapor feedback roughly doubles the effect of CO₂ 260
on the cloud-top longwave cooling in the simulations without 261
solar geoengineering (27); it and evaporation enhancement are 262
both reduced in the solar geoengineering simulations, in which 263
surface warming is dampened. 264

The same mechanisms that are responsible for the strato- 265
cumulus breakup are also involved in the stratocumulus- 266
cumulus transition in the present climate. The stratocumulus- 267
cumulus transition occurs as trade winds advect air masses 268
from eastern subtropical ocean basins westward and equator- 269
ward (21, 22, 37). The transition often occurs quite rapidly, 270
over 1–3 days (38). Increasing surface temperatures and 271
strengthening evaporation are thought to be primarily respon- 272
sible for the stratocumulus thinning along the stratocumulus- 273
cumulus transition in the present climate (39). This contrasts 274

with the stratocumulus–cumulus transition in our solar geoengineering simulations, in which weakening cloud-top cooling plays a central role. Nonetheless, the surface warming that is essential for the stratocumulus–cumulus transition in the present climate is also crucial for the transition in our solar geoengineering simulations: the feedback between cloud thinning and surface warming is what accounts for the abruptness of the transition and the bistability in our simulations. The stratocumulus–cumulus transition in the present climate is additionally associated with a weakening of the inversion near the cloud tops (39, 40). In our solar geoengineering simulations, by contrast, the inversion strength remains essentially unchanged as CO₂ concentrations increase. In the simulations without solar geoengineering, the inversion strengthens as CO₂ concentrations increase, consistent with the stronger surface warming and enhanced warming of the free troposphere, whose thermal stratification is moist-adiabatic. Thus, changes in inversion strength are not responsible for the stratocumulus breakup in the climate-change simulations with or without geoengineering (26).

C. Simulation Results With Weakened Subsidence. The solar geoengineering simulations in which subsidence weakens moderately (1% K⁻¹) are qualitatively similar to the simulations with fixed subsidence (Fig. 4a–e). The main difference is that the breakup of the stratocumulus decks occurs at higher CO₂ concentrations, above 2,000 ppm. The bistability and hysteresis remain, with stratocumulus decks reforming once CO₂ concentrations drop below 800 ppm. By contrast, in the simulations with stronger subsidence weakening (3% K⁻¹), the clouds still gradually thin as CO₂ concentrations rise (Fig. 4f–j). But within the range of CO₂ concentrations we simulated (up to 4,000 ppm), no stratocumulus instability occurs, and the stratocumulus decks remain intact with nearly 100% cloud cover (Fig. 4f). The subsidence weakening counteracts the substantial cloud thinning and weakening shortwave CRE (Fig. 4g, h) just enough to prevent a cloud breakup. However, given that the gradual cloud thinning and weakening shortwave CRE still occur, breakup may eventually happen at yet higher CO₂ concentrations.

3. Discussion

Our results show that solar geoengineering may not be a fail-safe option to counter global warming. At least in extreme and idealized solar geoengineering scenarios, rising GHG concentrations can eventually lead to breakup of subtropical stratocumulus decks. Because our model assumes that the extra solar energy absorbed when subtropical stratocumulus decks break up is spread uniformly across the globe, the tropical SST increase of around 5 K can be taken as a proxy for the global warming triggered by stratocumulus breakup. That is, even with solar geoengineering, strong global warming can occur if CO₂ continues to accumulate in the atmosphere to reach concentrations more than 4 times today's. It would take over a century to reach such CO₂ concentrations even if emissions increase further (41). Extending solar geoengineering to such high CO₂ concentrations is not currently considered. And it is possible that the stratocumulus breakup and attendant warming can be delayed to yet higher CO₂ concentrations if solar radiation is managed even more aggressively, so that there is no surface temperature increase. If stratocumulus

breakup eventually occurs, the warming it triggers dramatically amplifies the termination shock that would occur if solar geoengineering were stopped thereafter.

Several caveats are in order. The thinning of stratocumulus clouds under increasing CO₂ concentrations is robust and well established (24). The amplifying feedback between thinning clouds and a warming sea surface is likewise rooted in well-understood physics, and that it can run away and lead to stratocumulus breakup is physically plausible. The mechanisms involved are known to play a role in the stratocumulus–cumulus transition in the present climate (21). However, it remains unclear at which CO₂ concentrations breakup of stratocumulus may occur. Our simulations with different subsidence rates illustrate some of the difficulties of quantifying the critical CO₂ concentrations for stratocumulus breakup. But because our modeling setup lacks explicit representation of spatial heterogeneity and of temporal variations such as the seasonal cycle and large-scale weather variability (meteorological noise), the real uncertainties are even greater. Spatial heterogeneity means that stratocumulus breakup, if it occurs, in reality would occur first in regions and seasons in which the stratocumulus decks are close to the stability threshold, likely at the margins of current stratocumulus regions (27). The lack of spatial heterogeneity and temporal variability in our simulations make it difficult to quantify more precisely the range of CO₂ concentrations over which stratocumulus breakup may occur, or when the clouds reform after CO₂ concentrations are lowered. The sources of noise neglected in our simulation may also reduce the width of the hysteresis loop and render the transitions between the states with and without stratocumulus decks less sharp as a function of CO₂ concentration than they are in our simulations (27). These are some of the limitations that come with the idealizations that were necessary to clearly illustrate the mechanisms involved and make this study computationally feasible; we plan to overcome these limitations in future work. Furthermore, we have not addressed the question of which, if any, solar geoengineering method may provide sufficient reductions in absorbed solar radiation to offset the radiative forcing of quadrupled (or higher) CO₂ concentrations; it is unclear whether such extensive solar geoengineering would be feasible (12, 14).

Caveats and limitations notwithstanding, the results illustrate a hitherto unrecognized risk of solar geoengineering. It stems from the effects of elevated CO₂ concentrations on cloud cover, which generally reduce or thin cloud cover and are amplified by the surface warming that results from reduced or thinned cloud cover (16–20). These effects are difficult to offset by manipulating the amount of solar radiation Earth absorbs. The idealizations of our study bring limitations with them, especially with regard to quantitative statements about the CO₂ concentrations at which stratocumulus breakup occurs. Nonetheless, the results highlight that the relative risks and benefits of solar geoengineering remain insufficiently understood and quantified. It is imperative to understand and quantify these risks and benefits better. Doing so will require developing climate models that capture clouds and especially low clouds more accurately than do current models (42).

Materials and Methods

Experimental Design. The experimental design follows our previous study (27), which in turn builds on refs. (26, 29). We performed the

numerical experiments with the Python Cloud Large Eddy Simulation (PyCLES) code (43), employing an implicit LES approach with nominally 5th-order weighted essentially non-oscillatory (WENO) advection schemes (44). The LES domain extends 4.8×4.8 km in the horizontal and 2.25 km in the vertical, with a horizontal grid spacing of 50 m and a vertical grid spacing of 10 m. The lower boundary of the subtropical LES domain is a thermodynamic slab ocean whose surface temperature evolves according to the surface energy balance. The LES domain is coupled to a tropical column that is taken to be in radiative-convective equilibrium (RCE), with a moist-adiabatic temperature stratification and with an energetic imbalance at TOA that implies energy export out of the tropics. The horizontal temperature gradients between the tropical and subtropical free troposphere are assumed to be weak, and the free-tropospheric temperature profile in the subtropical LES domain is relaxed toward that of the tropical column (30, 45). In turn, the tropical column is coupled to the subtropical LES domain through energy transport: any excess energy accumulating in the LES domain (beyond that implied by the TOA radiative energy flux imbalance in the baseline simulation with 400 ppm) is assumed to be spread homogeneously across the globe, warming the tropical column as well as the rest of the globe. The resulting warming depends on the area fraction γ of the globe that the subtropical LES domain is assumed to represent. We take this to be $\gamma = 6.5\%$, based on the observation that subtropical marine stratocumulus cover 18.5% of the oceans between 5° and 35° latitude in both hemispheres, and this subtropical ocean area makes up 35% of Earth's surface area (46), so $\gamma = 0.185 \times 0.35 = 6.5\%$. Radiative energy fluxes are calculated with the Rapid Radiative Transfer Model for general circulation models (RRTMG) (47). Other details of the experimental setup, including how the tropical RCE state is calculated and how microphysical processes are represented, are described in (27). The only difference to our previous study is the specification of TOA insolation.

Insolation. As in our previous study (27), the downwelling solar radiative energy fluxes in the baseline simulation are 471 W m^{-2} in the subtropical LES domain and 356 W m^{-2} in the tropical column. These fluxes correspond to diurnally averaged insolation in July at 30°N and at the equator, respectively, where the equatorial insolation in July has been reduced by a factor $(1 - \alpha_t)$ ($\alpha_t = 0.09$) to account for the effective albedo of tropical clouds. Not explicitly simulating a diurnal cycle, we use a diurnally averaged solar zenith angle, which leads to biases in the diurnally averaged reflected solar radiation (48). These biases are of similar magnitude as biases from neglect of three-dimensional radiative transfer effects (49), with the latter partially compensating the former in our results. The simplifications in the representation of radiative transfer are among the several idealizations of this study that may affect quantitative details of the results.

Solar geoengineering is represented in an idealized fashion through modification of the TOA insolation relative to the baseline simulation. To offset the direct radiative forcing of increased CO_2 concentrations, TOA insolation is reduced by 3.7 W m^{-2} per doubling of CO_2 concentration relative to the 400 ppm baseline. That is, the downwelling solar radiative energy flux is reduced by

$$\Delta S^\downarrow = -3.7 \text{ W m}^{-2} \log_2 \left(\frac{[\text{CO}_2]}{400 \text{ ppm}} \right), \quad [1]$$

and this offset is applied in both the subtropical LES domain and the tropical column.

In the tropical column of our modeling setup, the instantaneous radiative forcing at TOA when CO_2 concentrations are doubled from 400 to 800 ppm is 3.7 W m^{-2} ; the adjusted radiative forcing after the stratosphere has equilibrated is 4.7 W m^{-2} . In the tropical column, the 3.7 W m^{-2} reduction of the downwelling solar radiative energy flux, given our overall tropical albedo of 0.16, implies a $(1 - 0.16) \times 3.7 \text{ W m}^{-2} = 3.1 \text{ W m}^{-2}$ reduction of the net solar radiative energy flux. This leaves uncompensated 34% (1.6 W m^{-2}) of the adjusted CO_2 longwave radiative forcing. Similarly, in the subtropical LES domain, the adjusted TOA longwave radiative forcing for doubling CO_2 concentrations is 2.7 W m^{-2} , as determined by the regression method of Gregory et al. (50) after an abrupt CO_2 doubling from 400 to 800 ppm. The TOA albedo in the subtropical LES domain in the baseline simulation is 0.48, so a 3.7 W m^{-2} reduction of

the downwelling solar radiative energy flux implies a $(1 - 0.48) \times 3.7 \text{ W m}^{-2} = 1.9 \text{ W m}^{-2}$ reduction of the net solar radiative energy flux. This leaves uncompensated 29% (0.8 W m^{-2}) of the CO_2 longwave radiative forcing in the subtropical LES domain. This uncompensated forcing contributes to the warming we see in the simulations. However, in the subtropical LES domain, it is dwarfed by the change in shortwave CRE caused by the thinning of the subtropical clouds (Fig. 2c): CRE decreases by 7.8 W m^{-2} from the steady state of the 400 ppm simulation to that of the 800 ppm simulation. Evidence that the direct CO_2 effect on cloud cover predominates in the cloud thinning as CO_2 concentrations increase comes from comparison of the simulations with and without solar geoengineering: the LWP reductions in the simulations with solar geoengineering (with or without subsidence weakening) are generally similar (within about 15%) to those in the corresponding simulations without solar geoengineering (27), although the surface warming in the simulations with solar geoengineering is muted by about a factor 2.

For simulations with CO_2 concentrations below 400 ppm, we leave the insolation fixed because plausible mechanisms for solar geoengineering to prevent climate cooling have not been proposed.

Simulations. We performed three sets of simulations, with three different choices for the subsidence velocity that advects the LES's native specific entropy and total water specific humidity, thus providing entropy and moisture sources/sinks from unresolved large-scale dynamics. In the first set, the subsidence velocity is fixed. One series of simulations in this set takes as its initial condition a snapshot of the 400 ppm baseline simulation after it has reached a statistically steady state. CO_2 concentrations then are increased in steps and TOA insolation is reduced commensurately, up to a CO_2 concentration of 2,400 ppm. Another series of simulations is started from the 2,400 ppm run (from a snapshot 200 days into the simulation); CO_2 concentrations are then decreased again and TOA insolation is commensurately increased until a CO_2 concentration of 400 ppm is reached. At CO_2 concentrations below 400 ppm (i.e., 200 and 300 ppm), no modification of the TOA insolation is made relative to the baseline.

The second and third set of simulations follow the same protocol as the first set with increasing CO_2 concentrations but weakening large-scale subsidence in response to changes of the tropical SST, as described in (27). The assumption that large-scale subsidence in the troposphere weakens under warming is based on theoretical arguments and climate simulations showing such weakening in global warming scenarios without solar geoengineering (33, 35, 51). We chose the same parameterization of subsidence weakening for consistency with our previous study (27), without it being clear how realistic this subsidence weakening is in the presence of solar geoengineering.

Materials and Data Availability. All data needed to evaluate the conclusions in the paper are present in the paper. The source code for the simulations is available at climate-dynamics.org/software/#pycles.

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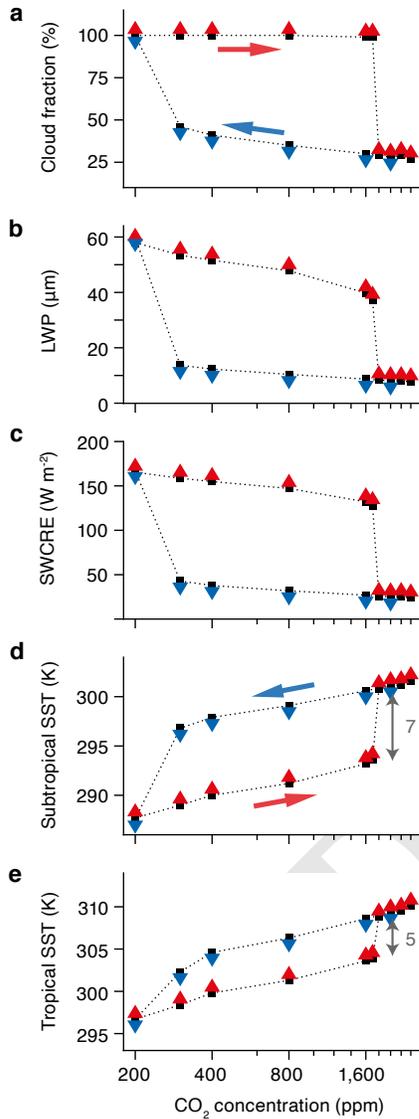


Fig. 2. Stratocumulus breakup and hysteresis under solar geoengineering with fixed subsidence. (a) Sub-tropical cloud fraction. (b) Cloud liquid water path. (c) Shortwave CRE at TOA. (d) Sub-tropical SST. (e) Tropical SST. Red upward arrows indicate solar geoengineering simulations started from the baseline simulation with 400 ppm CO₂; blue downward arrows indicate simulations started from 2,400 ppm. The CO₂ axis is logarithmic (ticks every 200 ppm) because the radiative forcing of CO₂ is approximately logarithmic in concentration. TOA insolation is reduced by 3.7 W m⁻² for every CO₂ doubling above 400 ppm to mimic solar geoengineering; TOA insolation is fixed for simulations with 400 ppm CO₂ or lower.

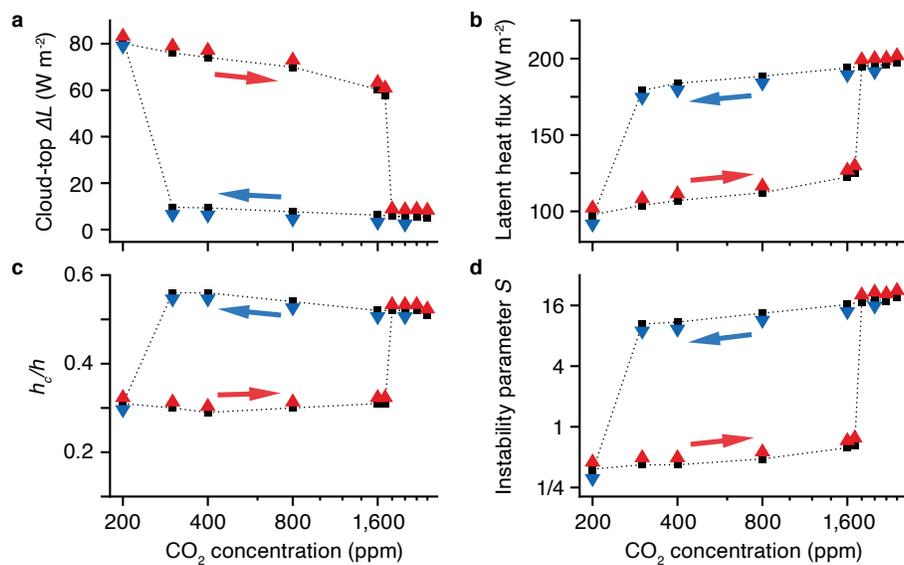


Fig. 3. Factors controlling stratocumulus stability under solar geoengineering with fixed large-scale subsidence. (a) Longwave radiative cooling of cloud tops (ΔL). (b) Latent heat flux (LHF) at the surface. (c) Fraction of boundary layer thickness occupied by cloud (h_c/h). (d) Instability parameter $S = (\text{LHF}/\Delta L) \times (h_c/h)$, on a logarithmic axis. (Plotting conventions as in Fig. 2, and analysis methodology as in (27).)

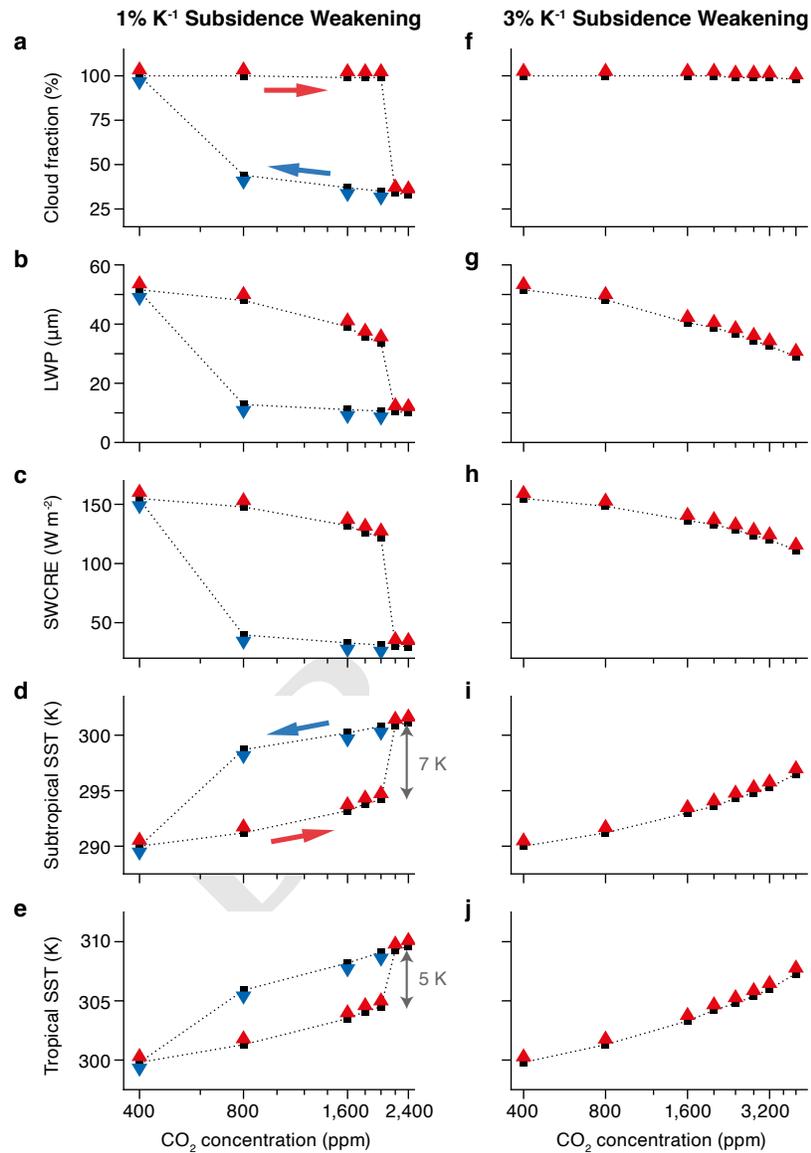


Fig. 4. Clouds and surface temperatures with weakening subsidence. (a, f) Subtropical cloud fraction. (b, g) Cloud liquid water path. (c, h) Shortwave CRE at TOA. (d, i) Subtropical SST. (e, j) Tropical SST. Left column: solar geoengineering simulations with subsidence weakening of $1\% \text{ K}^{-1}$ tropical SST increase. Right column: simulations with subsidence weakening of $3\% \text{ K}^{-1}$. Red upward arrows indicate simulations started from 400 ppm CO_2 ; blue downward arrows indicate simulations started from 2,400 ppm. As in Fig. 2, the CO_2 axis is logarithmic, with ticks every 200 ppm in the left column and every 400 ppm in the right column.