

# Coupling between carbon and water cycles: challenges and opportunities

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and many collaborators, students, postdocs



# Outline

1) Future of CO<sub>2</sub>-H<sub>2</sub>O coupling in the **model world**

2) (Large) **biases** compared to reality  
(LegoLand?)

**3) Way forward?**

Using high-resolution modeling  
and remote sensing

4) Time's up? Understanding/ prediction

# Supply vs. demand (P-ET)

## Actual vs. Potential Evaporation

Penman-Monteith equation

$$ET = \frac{R_n - G + \frac{\rho C_p VPD}{\Delta r_a}}{1 + \frac{\gamma}{\Delta} \left( 1 + \frac{r_s}{r_a} \right)}$$

How this is changing is essential  
 $r_s$ (VPD, Soil moisture, CO<sub>2</sub>, LAI, LULC...)

Explains the difference between  $E_p$  and ET

Over the ocean  $\sim E_p$  (no  $r_s$ )

**Over land, surface resistance response to VPD, Soil moisture, CO<sub>2</sub>, LAI is key**

# Decomposing dryness response

- Different dryness indicators:

- **VPD**: physiology

- **Runoff**: hydrology (similar to long term P-ET)

- **ET**: hydrology, agriculture, climate

- **EF=ET/R<sub>n</sub>** (0-1): hydrology, agriculture, climate

- **Soil moisture**: water resource manager

- **LAI**: farmers, ecosystem services

- Better define drivers

- **Water supply**: P

- **Radiation demand**:  $R_n$  (not  $E_p$  – see previous reason), T, VPD

- **Physiological impact**:  $[CO_2]$  surface

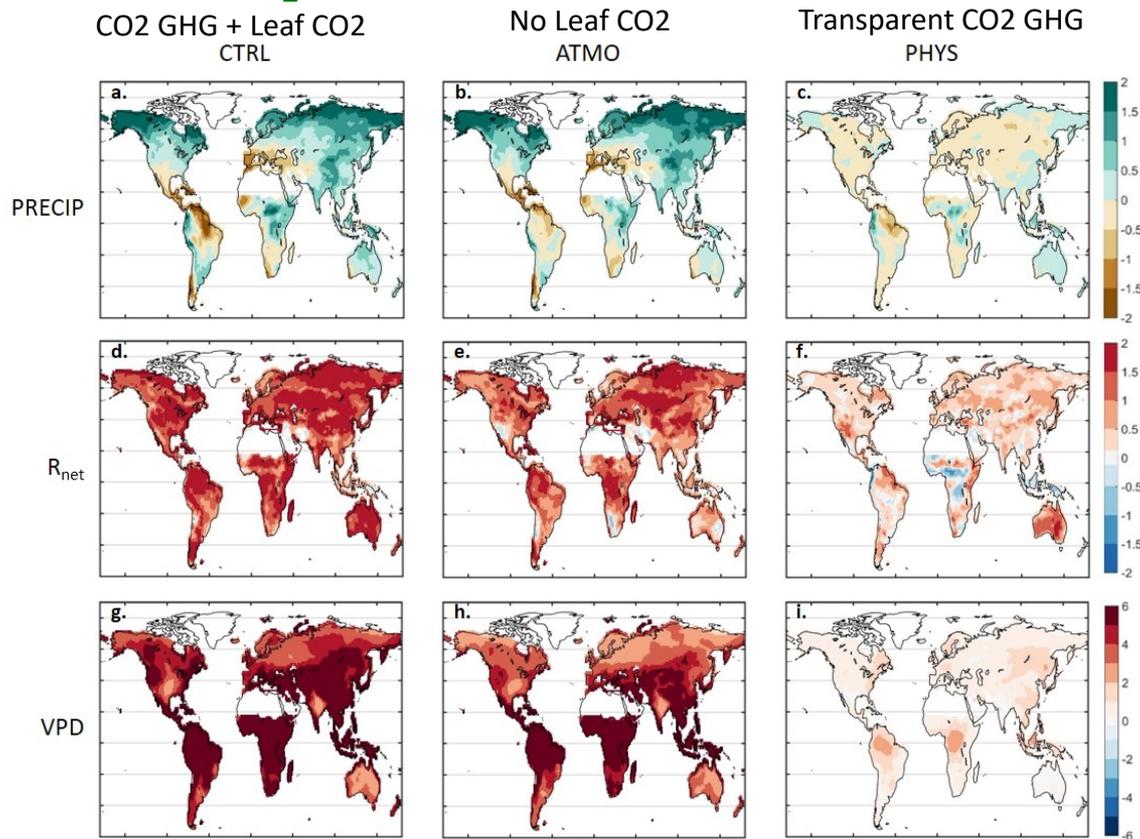
# Decomposing model dryness response

-Water supply: P

-Radiation demand:  $R_n$  and correlated T, VPD

(not  $E_p$  – see previous reason)

-Physiological impact:  $[CO_2]$  surface



Lemordant L., ... Gentine P., Vegetation physiology dominates future continental water cycle, *PNAS*, *in press*

# Can we go further?

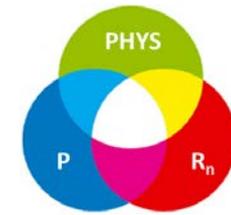
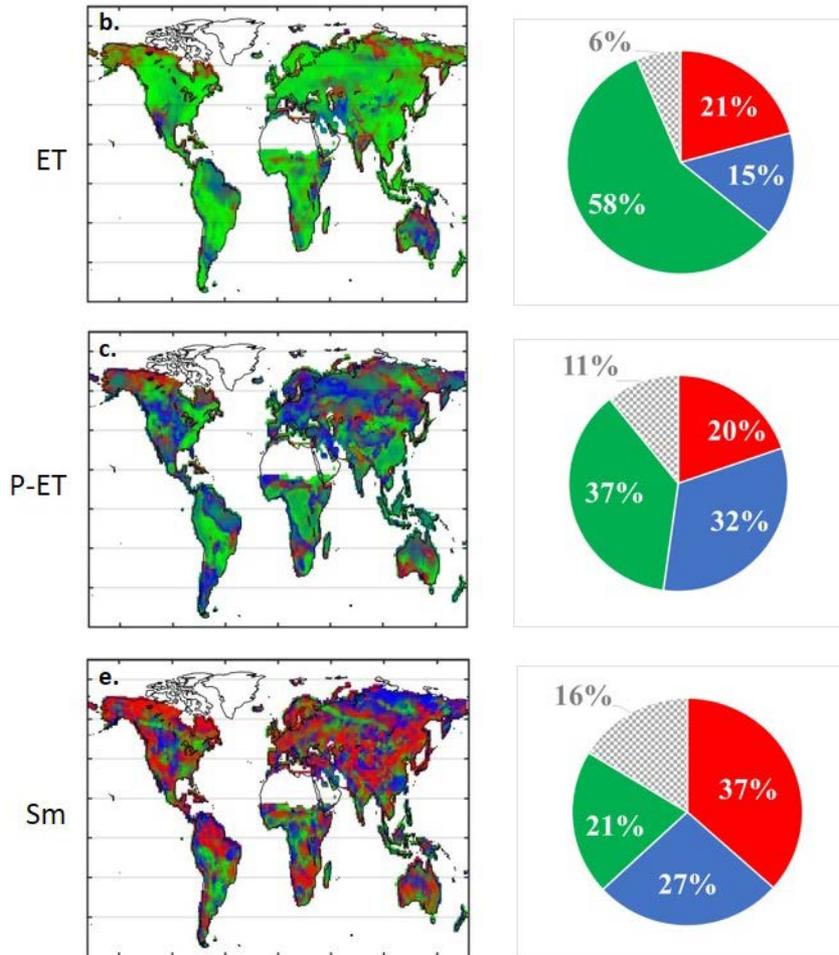
- Divide radiative runs into Rn and P changes (partial correlations)

- Explained change under climate change:

$$dET = \underbrace{\frac{\partial ET}{\partial R_n} dR_n + \frac{\partial ET}{\partial P} dP}_{\text{based on radiative runs}} + \underbrace{\frac{\partial ET}{\partial [CO_2]_{\text{surface}}} d[CO_2]_{\text{surface}}}_{\text{based on physiological runs}}$$

- Report total as a % change

# Decomposing dryness



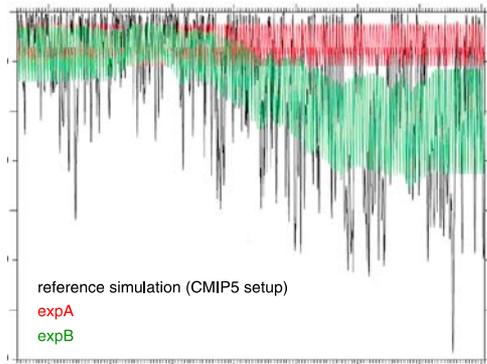
$$\Delta X = \left[ \frac{\partial X}{\partial R_n} \cdot \Delta R_n \right]_{ATMO} + \left[ \frac{\partial X}{\partial P} \cdot \Delta P \right]_{ATMO} + [\Delta X]_{PHYS}$$

Mostly impacted by CO<sub>2</sub> effects except at cold northern latitudes  
**Vegetation (and CO<sub>2</sub> cycle) dominates future continental water stress**

Lemordant L., ... Gentine P., Vegetation physiology dominates future continental water cycle, *PNAS*, in press

# Land CO<sub>2</sub> uptake: role of soil moisture

## Soil moisture controls land CO<sub>2</sub> uptake

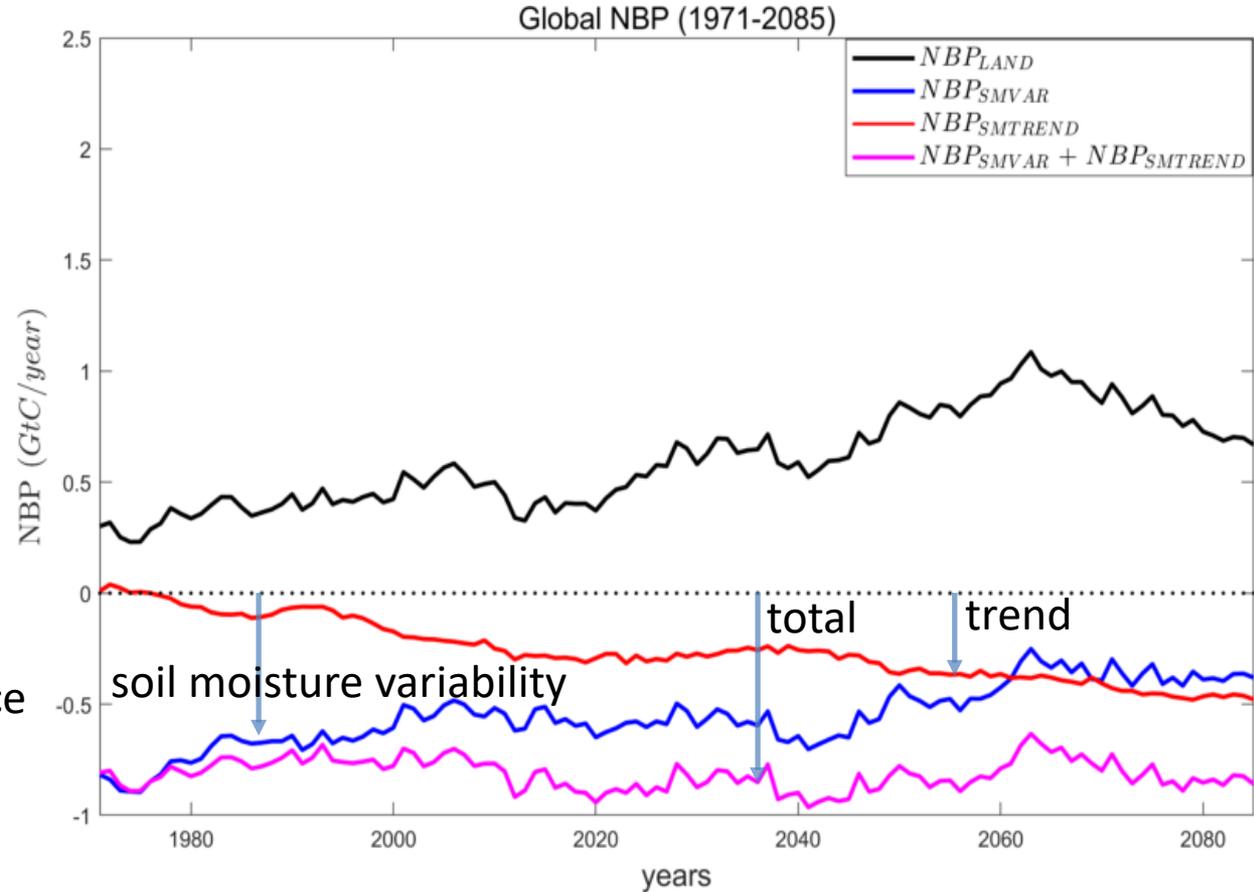


Sink

Source

Based on ESMs  
with/without  
interactive soil moisture  
GLACE-CMIP5

Seneviratne et al. *GRL*, 2013

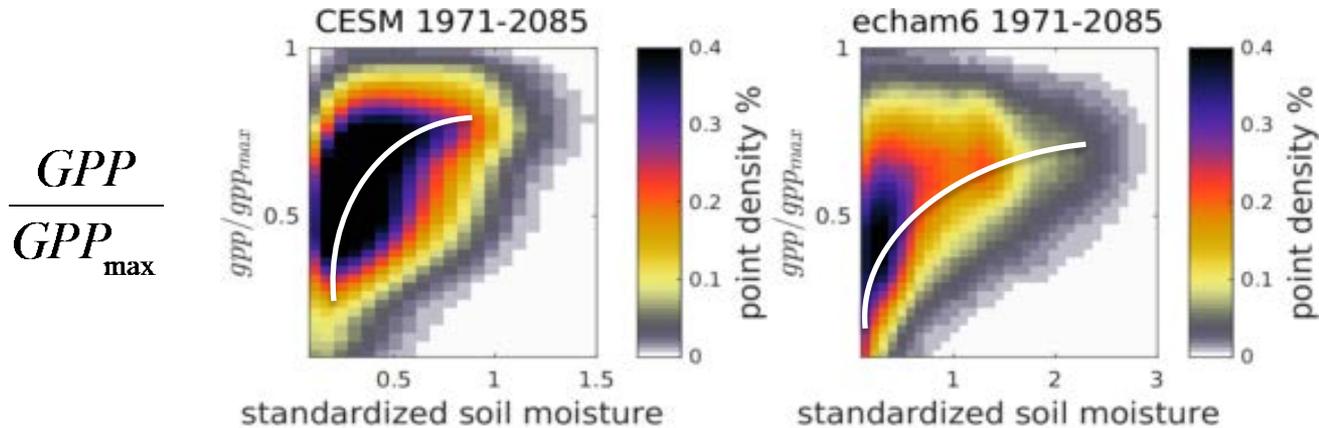


Green et al. submitted

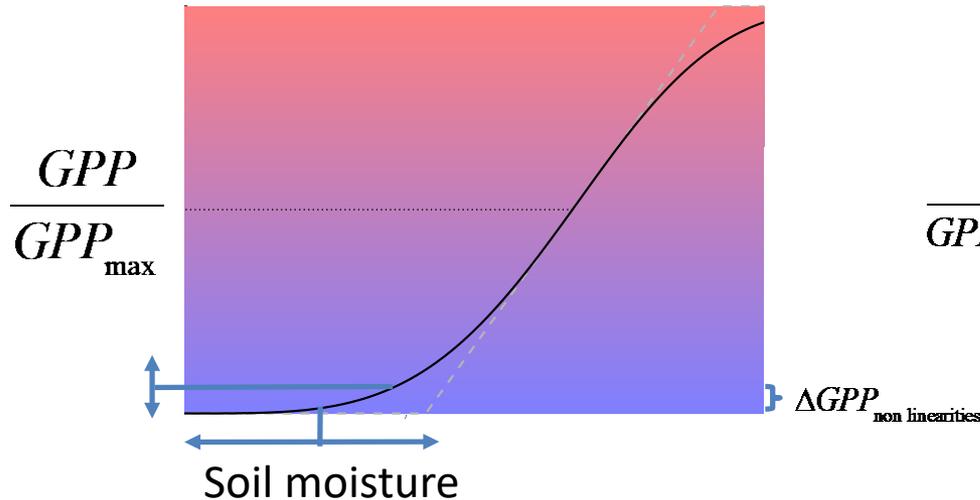
# Land CO<sub>2</sub> uptake: role of soil moisture

Why is soil moisture so important?

1) Nonlinear water stress response: **Soil moisture variability is not a zero-sum game**



$$\frac{GPP}{GPP_{max}}$$



Nonlinear stress

$$\overline{GPP(\text{soil moisture})} \neq GPP(\overline{\text{soil moisture}})$$

Green et al. submitted

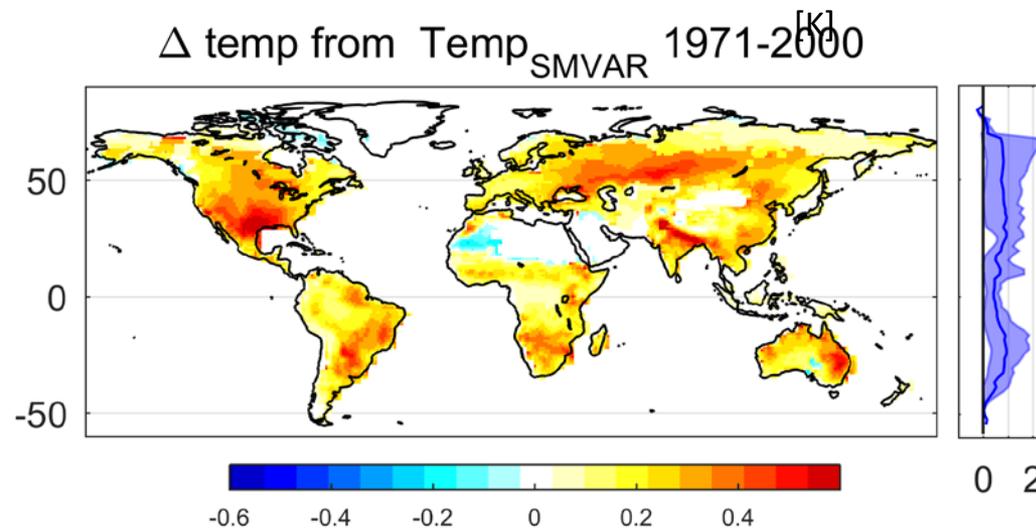
# Land CO<sub>2</sub> uptake: role of soil moisture

Why is soil moisture so important?

## 2) Land-atmosphere interactions:

Reduced soil moisture

- ➔ Lower evaporation & Higher sensible heat flux
  - ➔ Higher surface temperature
  - ➔ Increased respiration



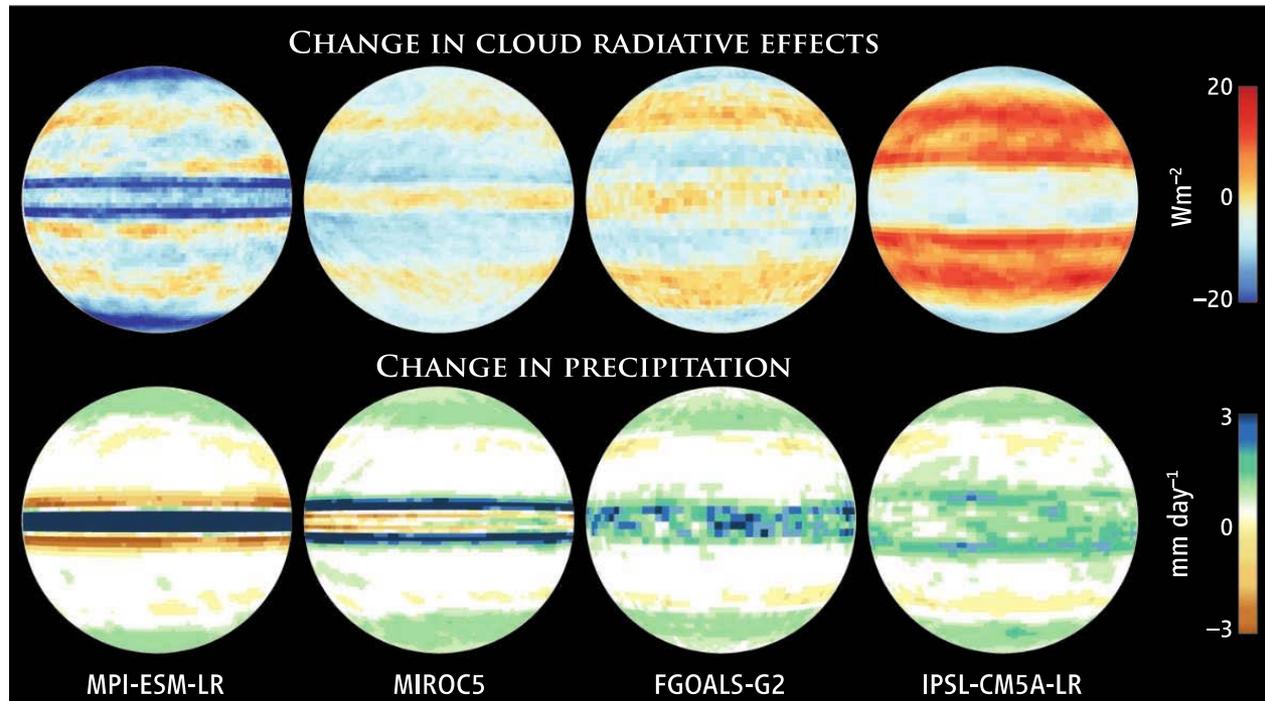
Green et al. submitted

# Are we done? Unfortunately not

**Bias in precipitation: Water Supply and radiation: Demand**

Precipitation poorly represented in models (mean, variability, diurnal cycles)

Even in Aquaplanet: future is completely unclear!



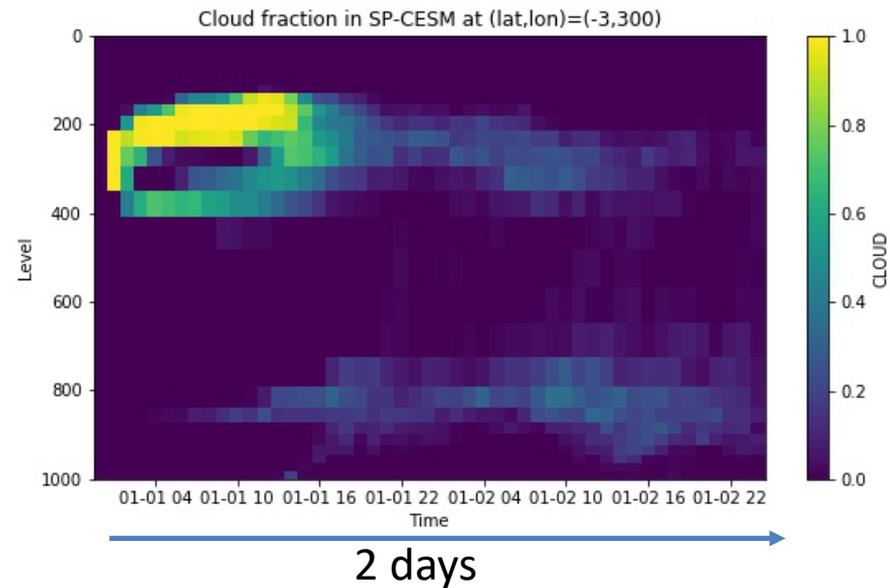
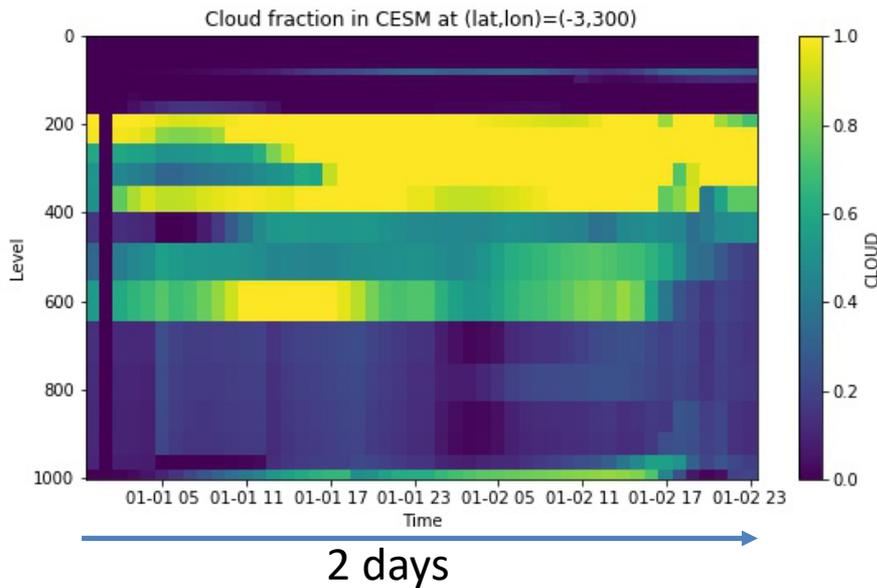
**Needs new type of convective/cloud parameterization**

Stevens, B., & Bony, S. (2013). What Are Climate Models Missing? *Science*, 340(6136), 1053–1054. <http://doi.org/10.1126/science.1237554>

# Are we done? Unfortunately not

**Cloud cover and diurnal cycle**

Poor continental diurnal cycle



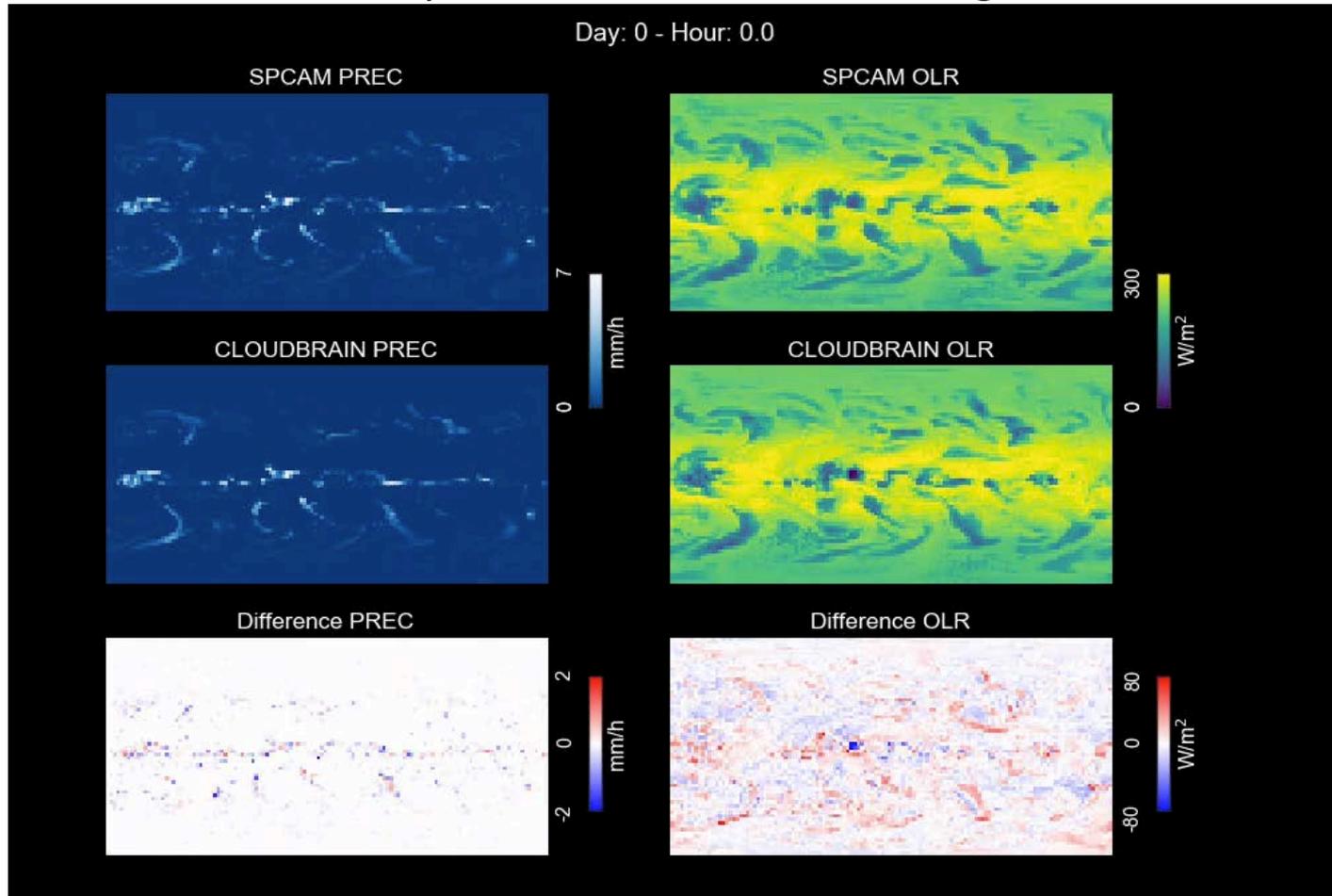
**Cloud resolving (2km scale) does a much better job**

**Needs new type of convective/cloud parameterization**

Huang Y., Pritchard M., Gentine P., in preparation

# Are we done? Unfortunately not

Way forward: machine learning



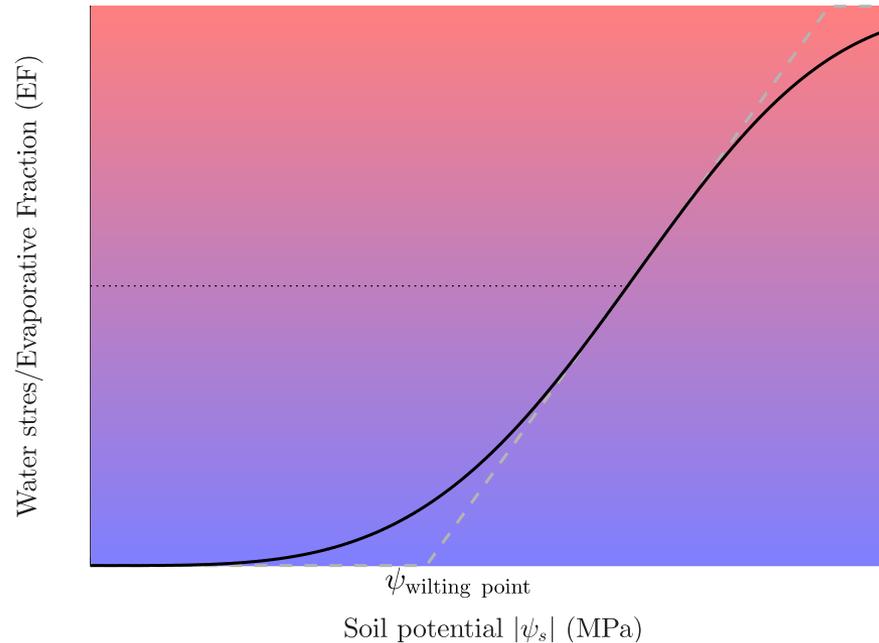
Precipitation

Radiation

Gentine P., Pritchard M., Rasp S., Reinaudi G., Could machine learning break the convection deadlock?, *GRL* (submitted)/EarthXiv

# Evaporation: vegetation water stress function is essential

- Built in soil moisture function from wilting point to field capacity



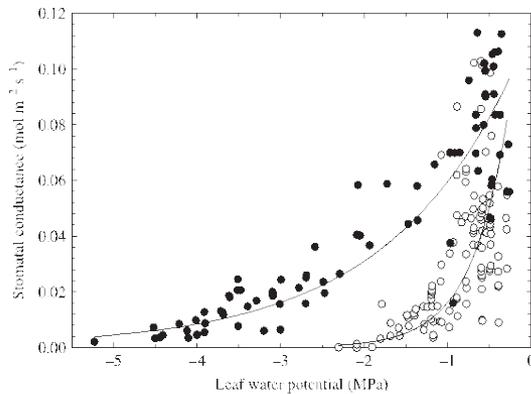
- Reality is more complex and **what about VPD effects (most certain with climate change)?**
- **Not currently in ESMs**

# Vegetation water stress

Is **soil moisture** really the main source of vegetation water stress?

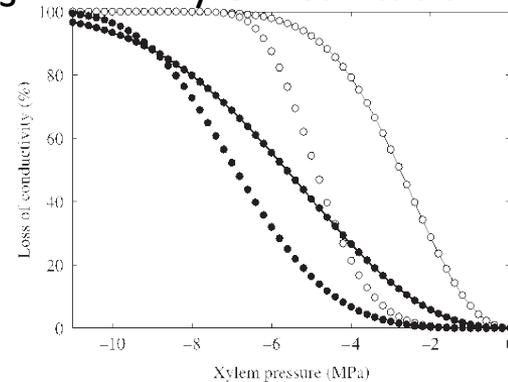


Stomatal response to leaf water stress



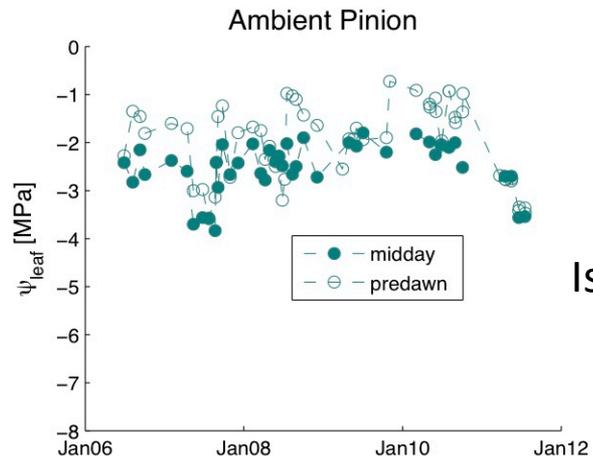
**Fig. 8** Stomatal conductance vs leaf water potential for piñon (open circles) and juniper (closed circles) at Mesita del Buey, Los Alamos, New Mexico. Data from Barnes (1986).

Xylem cavitation

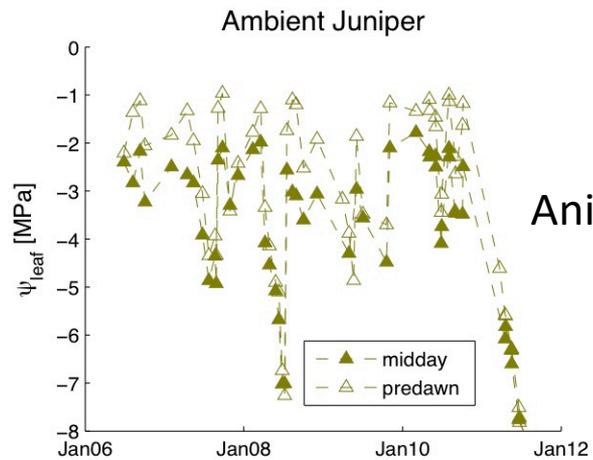


**Fig. 4** The percentage loss of conductivity of excised root (connected circles) and stem (unconnected circles) segments of piñon (open circles) and juniper (closed circles) as a function of xylem pressure. These 'vulnerability curves' were obtained by the air-injection method (Linton *et al.*, 1998).

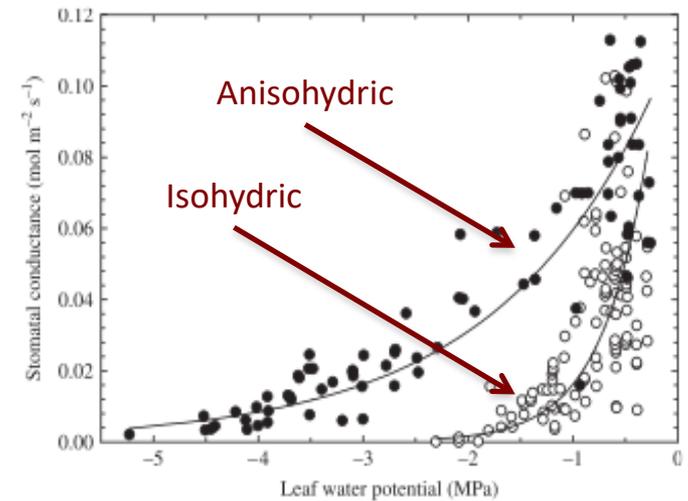
# Water stress: Isohydric vs. Anisohydric species



Near-constant  
Leaf water  
potential  
Isohydric



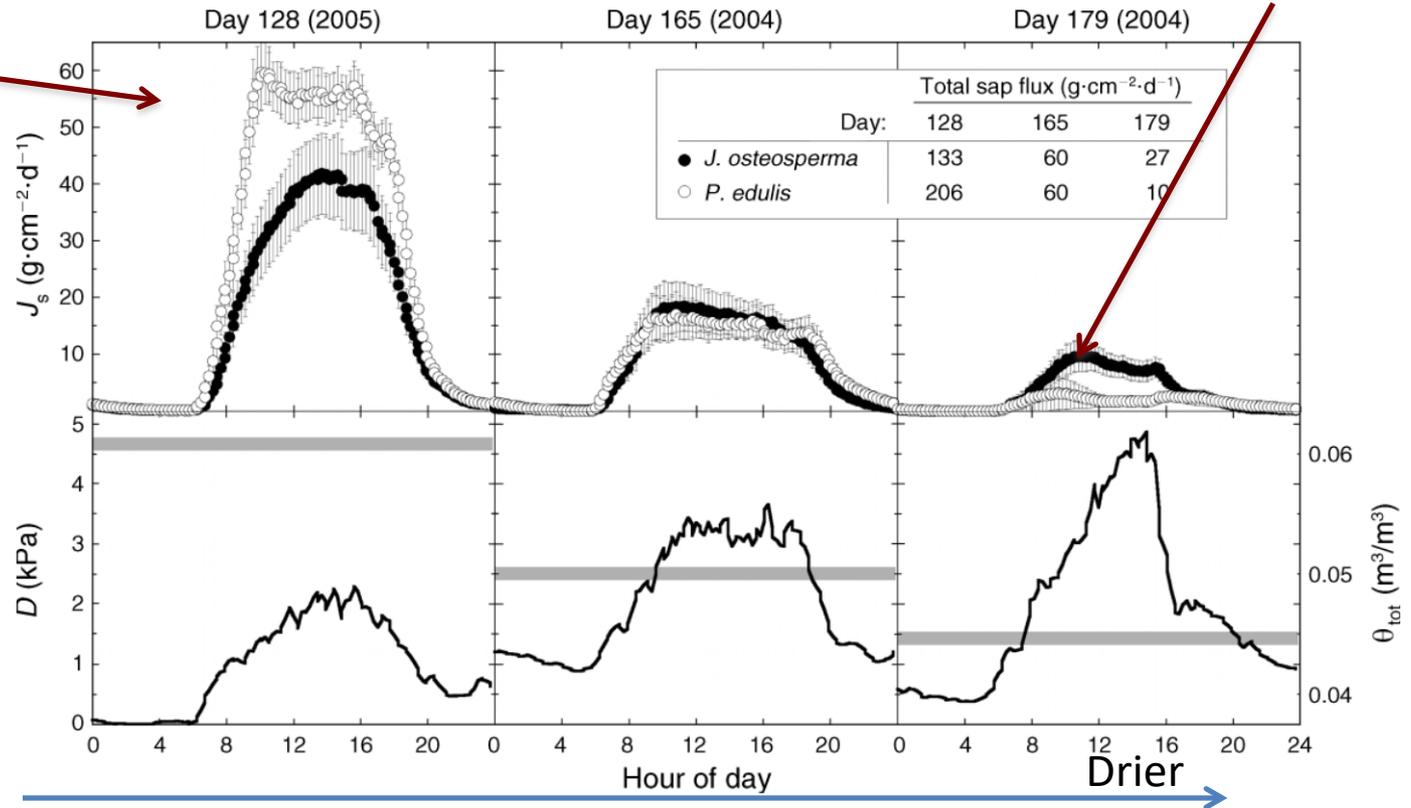
Anisohydric



# Isohydic vs. Anisohydic species

Anisohydic - Xylem control

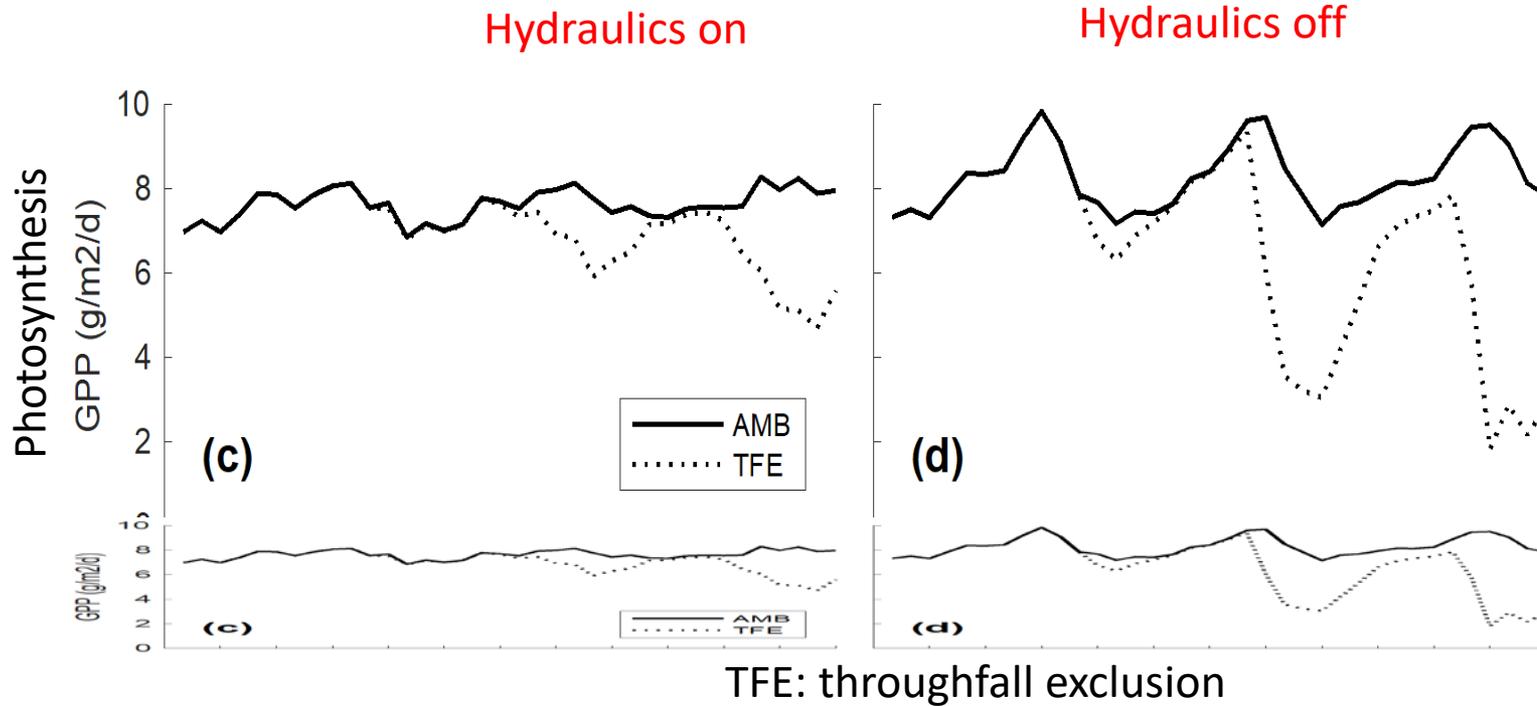
Isohydic  
Strong  
stomata  
regulation



Isohydry modifies transpiration/GPP time scales drought response  
 → would want to include this in surface models

# Model implementation

Improves resistance to droughts (a known bias)



**Reduced impact of droughts with plant hydraulics**  
(hydraulic redistribution, VPD dependence of stress)

Kennedy D, ..., Gentile P., Including plant hydraulics in the CLM model, JAMES, in prep.

# Constraints: Observational opportunities

□ What we want:

- Observations of dryness response of GPP  
**GPP and ET = f(Soil moisture, VPD)**
- Interannual variability – to observe drought response
- Flux towers might see limited drought conditions – **go global**
- Need to **observe vegetation water content** (gives an idea of plants' strategies)

# How can we get GPP/ET?

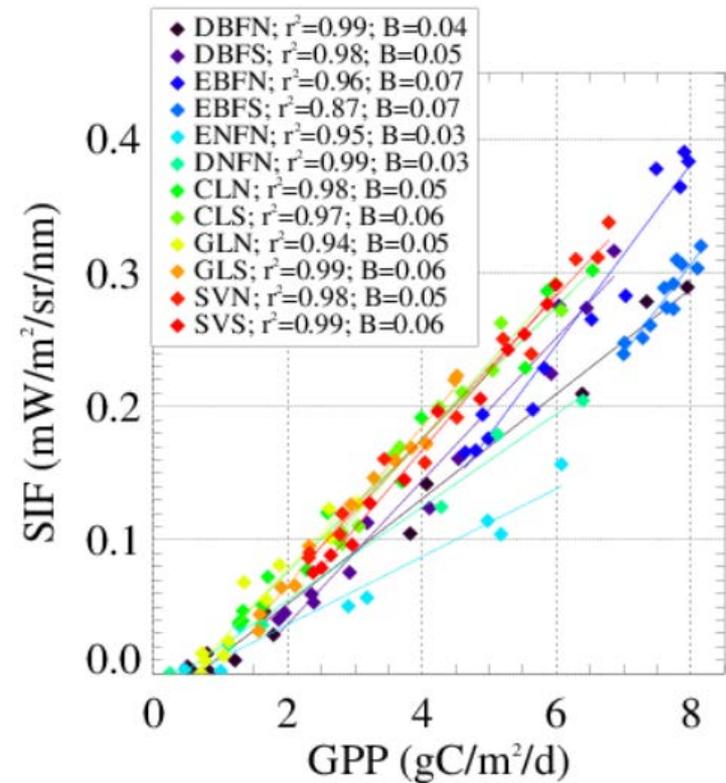
## □ Solar-Induced Fluorescence (SIF)

During photosynthesis a plant absorbs energy through its chlorophyll

- % used for ecosystem gross primary production (GPP)
- % lost as heat
- % re-emitted (SIF: **byproduct**)

Relationship between GPP  
and SIF is  $\sim$  linear

Responds to stressors  
(water, light, T)



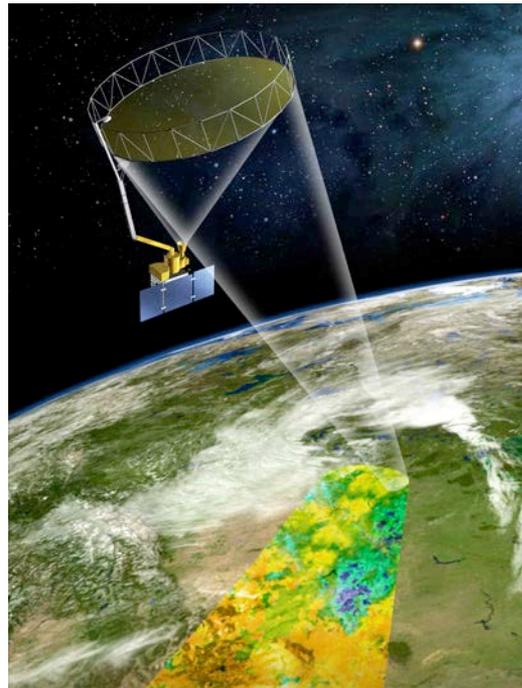
Guanter, L., et al. 2013

# Global ecophysiology: how can we assess stress strategy globally?

**Microwave:** penetrates through atmosphere and clouds

**Sensitive to moisture in plants and soil**

(Lower frequencies penetrate deeper through canopy  
e.g. new SMAP satellite for **soil moisture**)

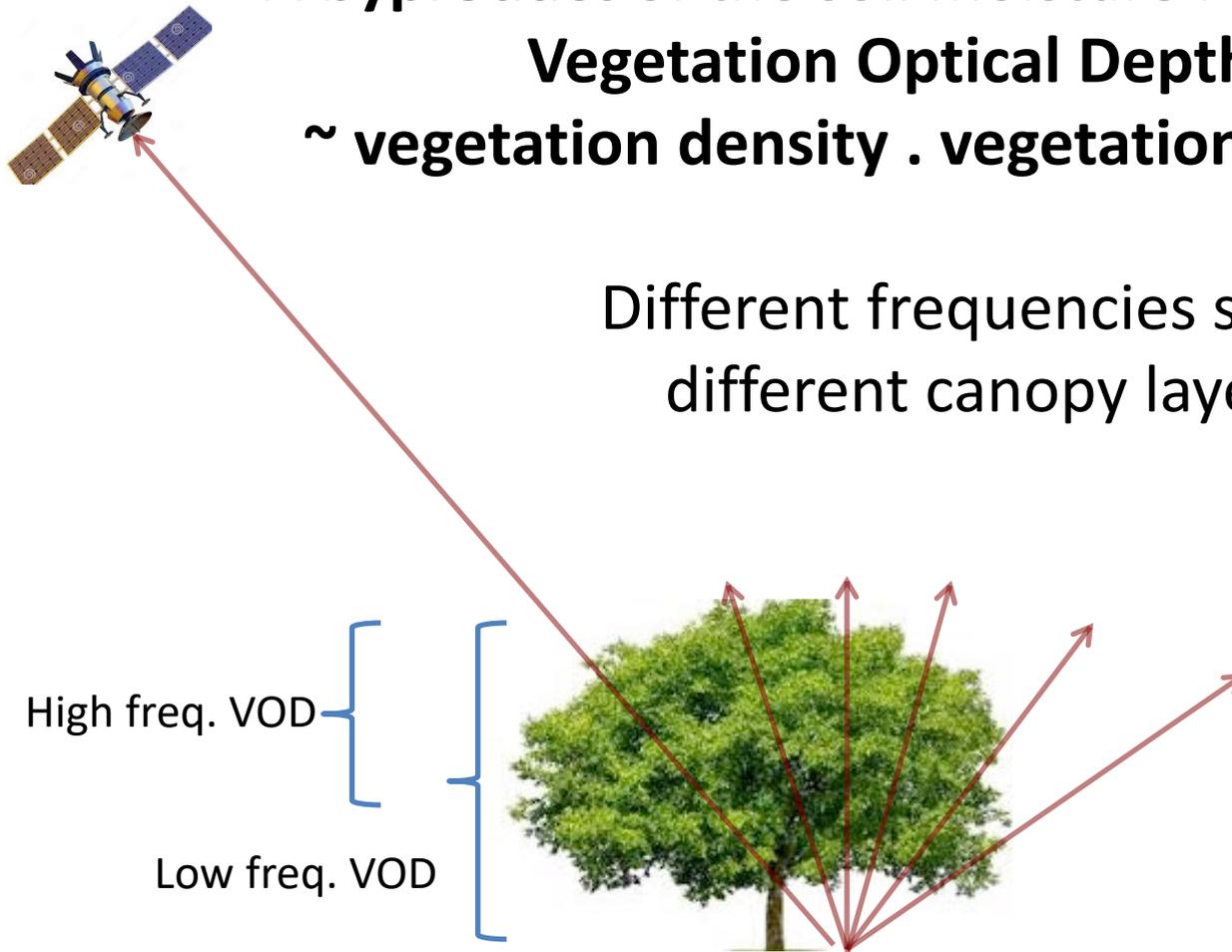


# Vegetation Optical Depth (VOD)

A **byproduct** of the soil moisture retrieval (shading)  
**Vegetation Optical Depth (VOD)**

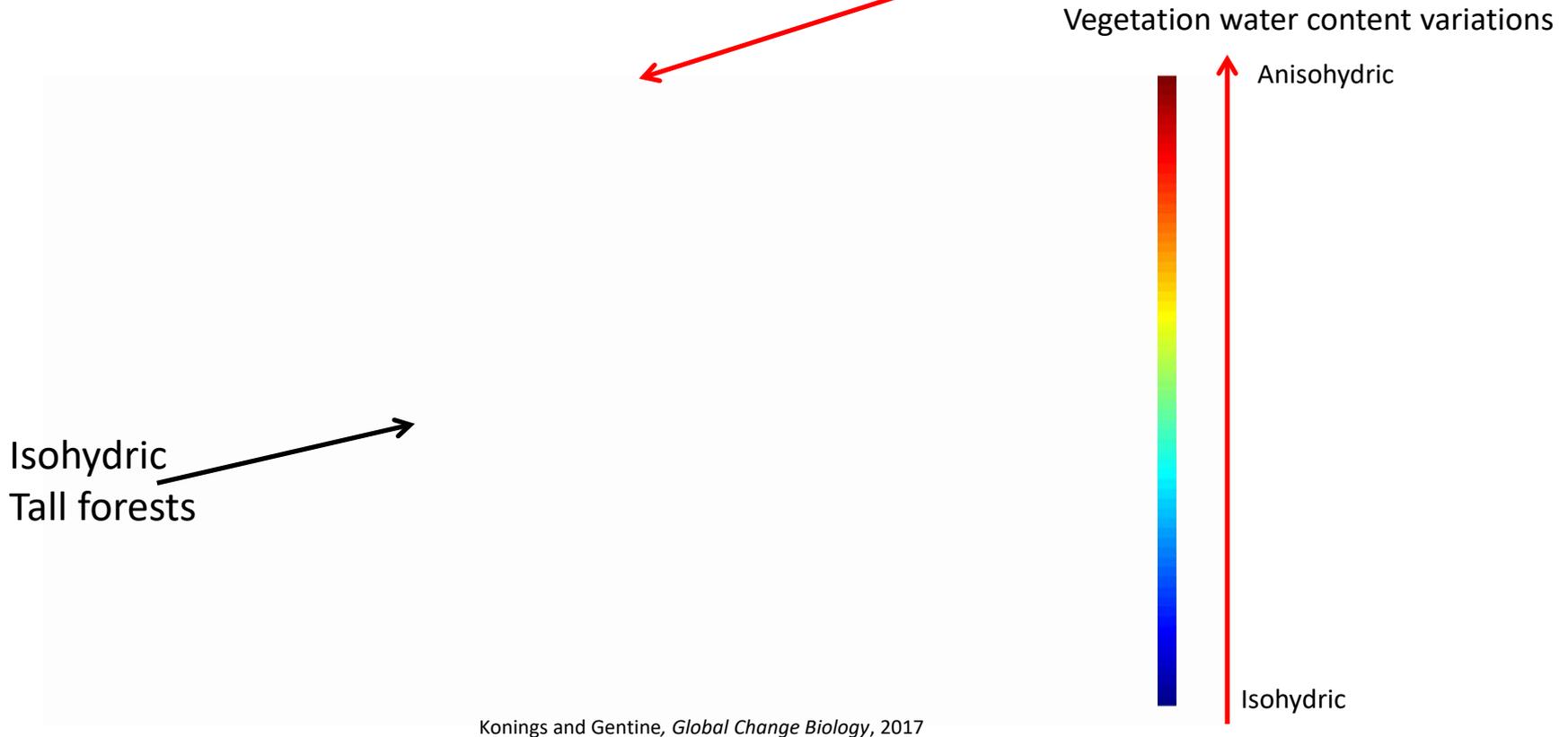
~ **vegetation density . vegetation water content**

Different frequencies sense  
different canopy layers



# Global ecophysiology

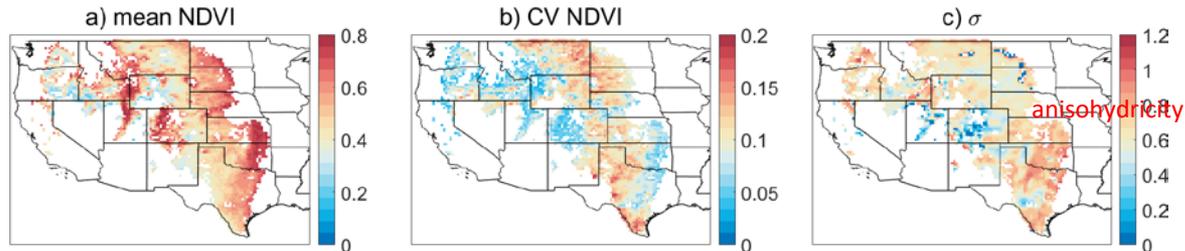
$$\psi_l = \psi_s - \frac{LAI VPD g_{sto,max} f_{sto}(\psi_s)}{A_s k_{s,max} f_x(\psi_s)} \approx \psi_s - \Gamma - \Delta\psi_s$$



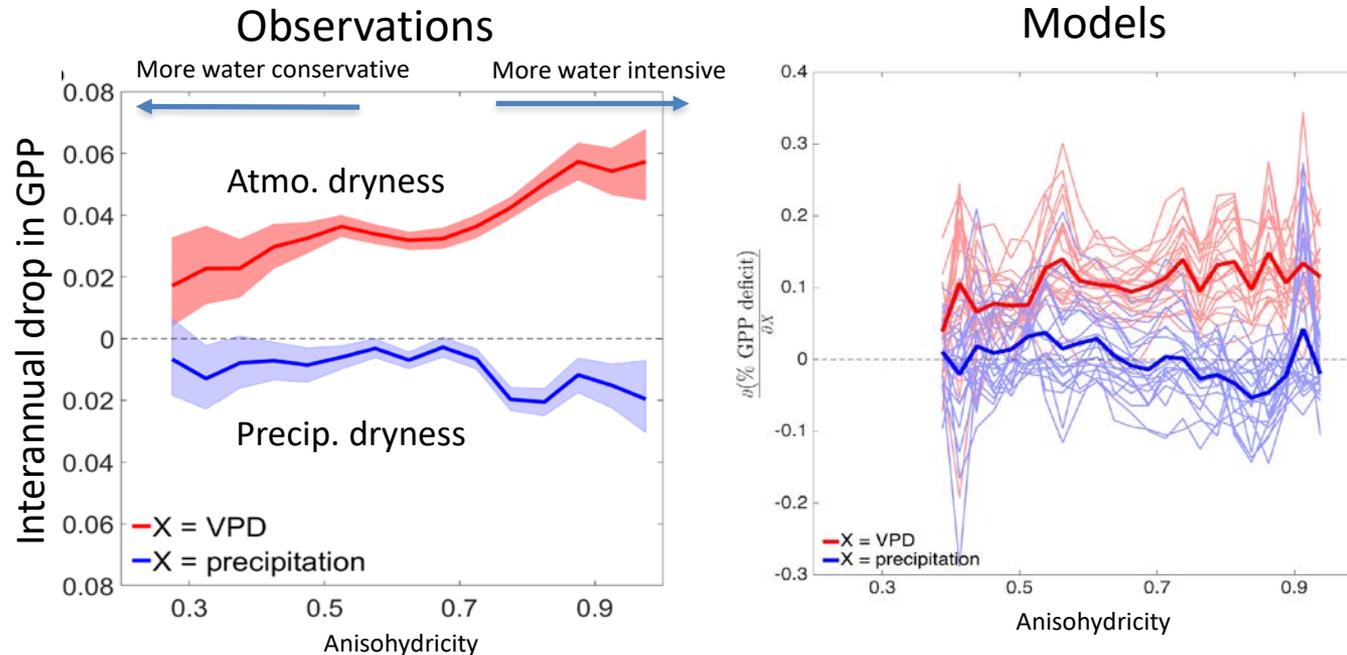
Can be used to constrain plant hydraulic parameterization at global scale

# GPP=f(water stress): Example of US grasslands

## Isohydrlicity regulates water stress response



Many isohydrilities per NDVI – not a single value per PFT!

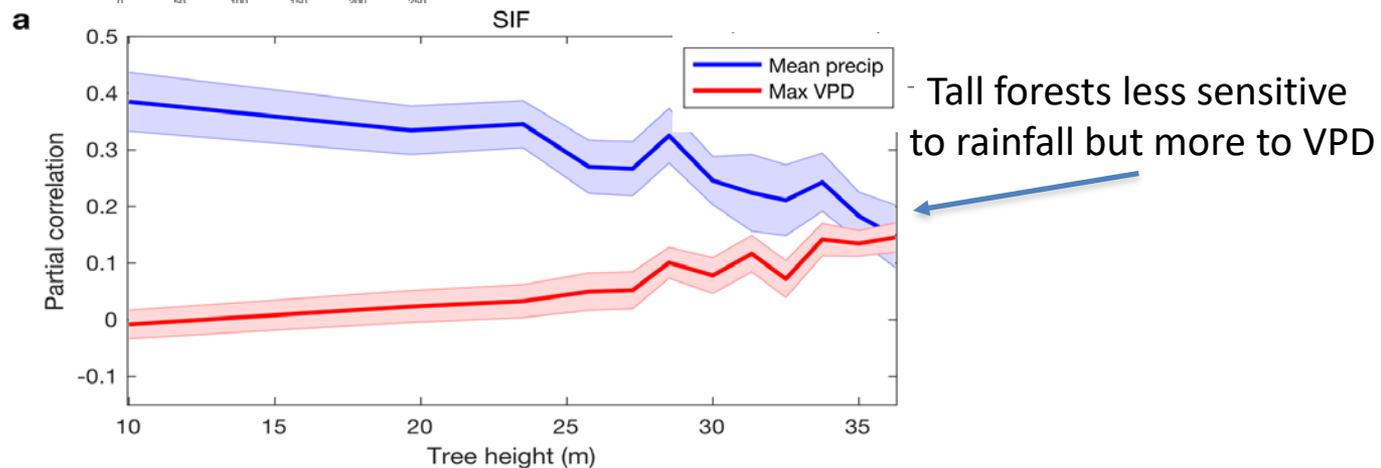
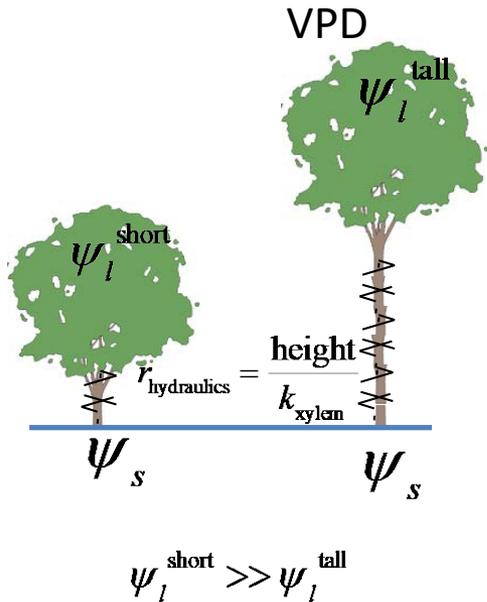
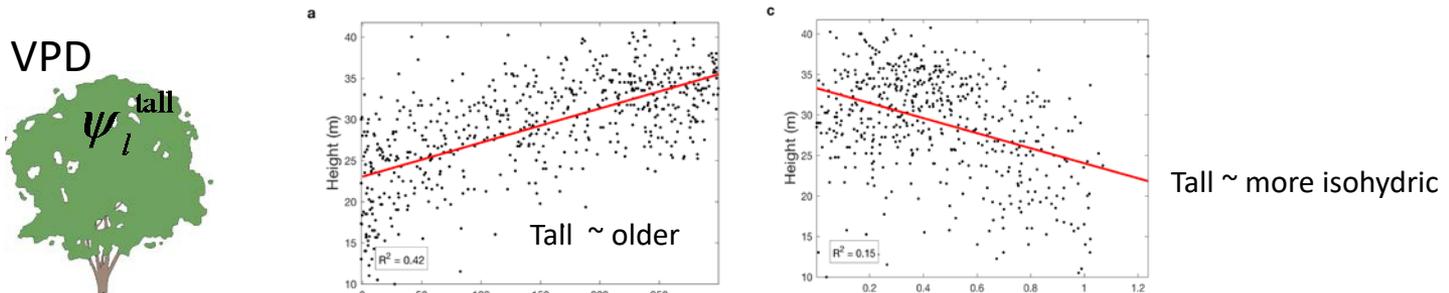
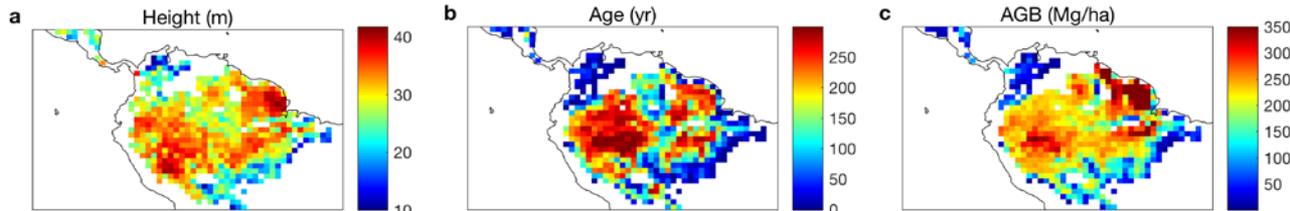


•Konings, A. G., A. P. Williams, and P. Gentine (2017), Sensitivity of grassland productivity to aridity controlled by stomatal and xylem regulation, *Nat Geosci*, 7, 2193–7.

# GPP=f(water stress): 2) Tropical forests

## Tall forests less sensitive to water supply

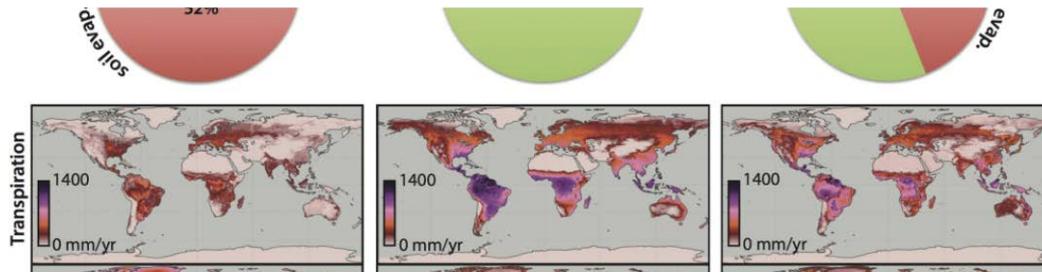
### Tree height/age/isohydricity regulate water stress response



Giardina F., ..., Gentine P., Tall Amazonian forests are more resistant to precipitation variability, *Nat Geo*, in revision

# Soil texture effect on resistance to bare soil evaporation

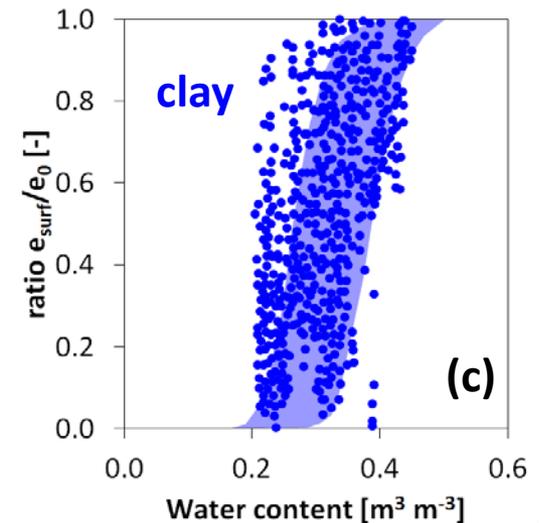
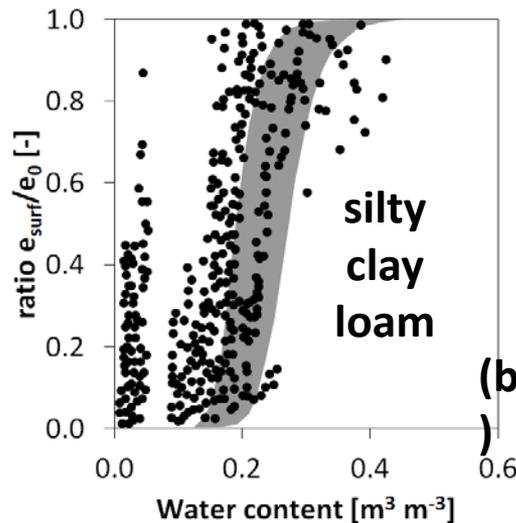
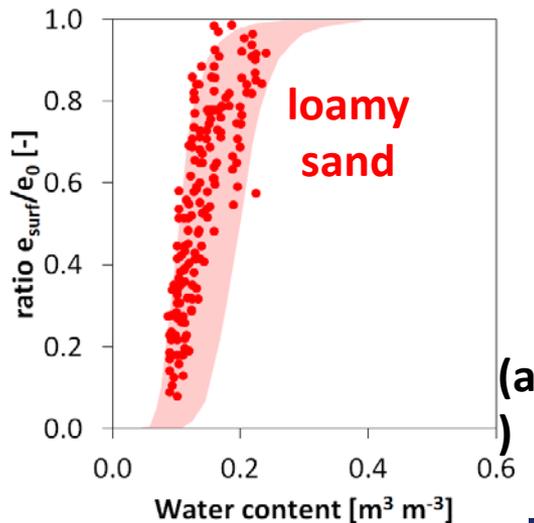
Huge uncertainties in E:ET estimates



- Simulating the surface evaporation  $e_{surf}$  as a function of surface water (Haghighi et al. 2013)  $\theta_{surf}$

$$e_{surf} = \frac{e_0 \cdot 4K(\theta_{surf}) \cdot \nabla}{e_0 + 4K(\theta_{surf}) \cdot \nabla}$$

- The gradient  $\nabla$  driving water supply is a soil intrinsic property defined by the characteristic length for capillary flow



# Conclusions

- H<sub>2</sub>O-CO<sub>2</sub>-climate are strongly coupled **in models**
  - Future carbon and water states cannot be fully comprehended in isolation
- Representation of processes need improvement
- Vegetation water stress and land-atmosphere interactions are key  
Need better representation in models

# Is SIF holding its promises?

**Issue: SIF is VERY noisy (small signal)**

$$GPP = LUE \cdot fPAR_{green} \cdot PAR$$

$$SIF = E_{SIF-yield} \cdot fPAR_{green} \cdot PAR$$

If most of the signal we are seeing is

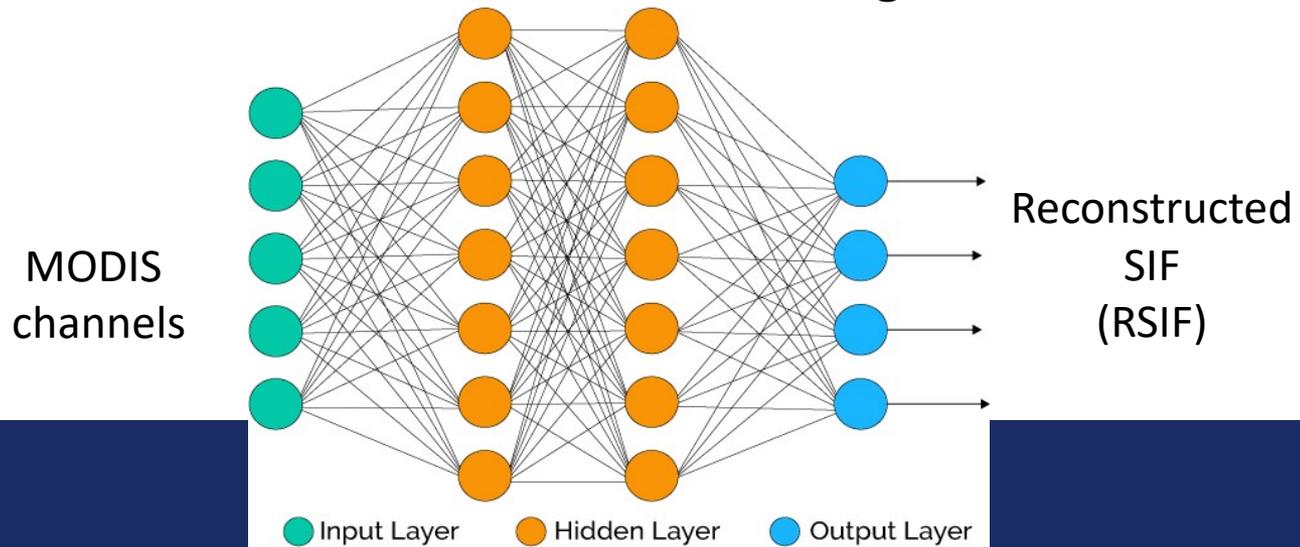
$$APAR_{green} = fPAR_{green} \cdot PAR$$

(i.e., vegetation structure + light)

then maybe old generation sensors are “good enough” (MODIS)

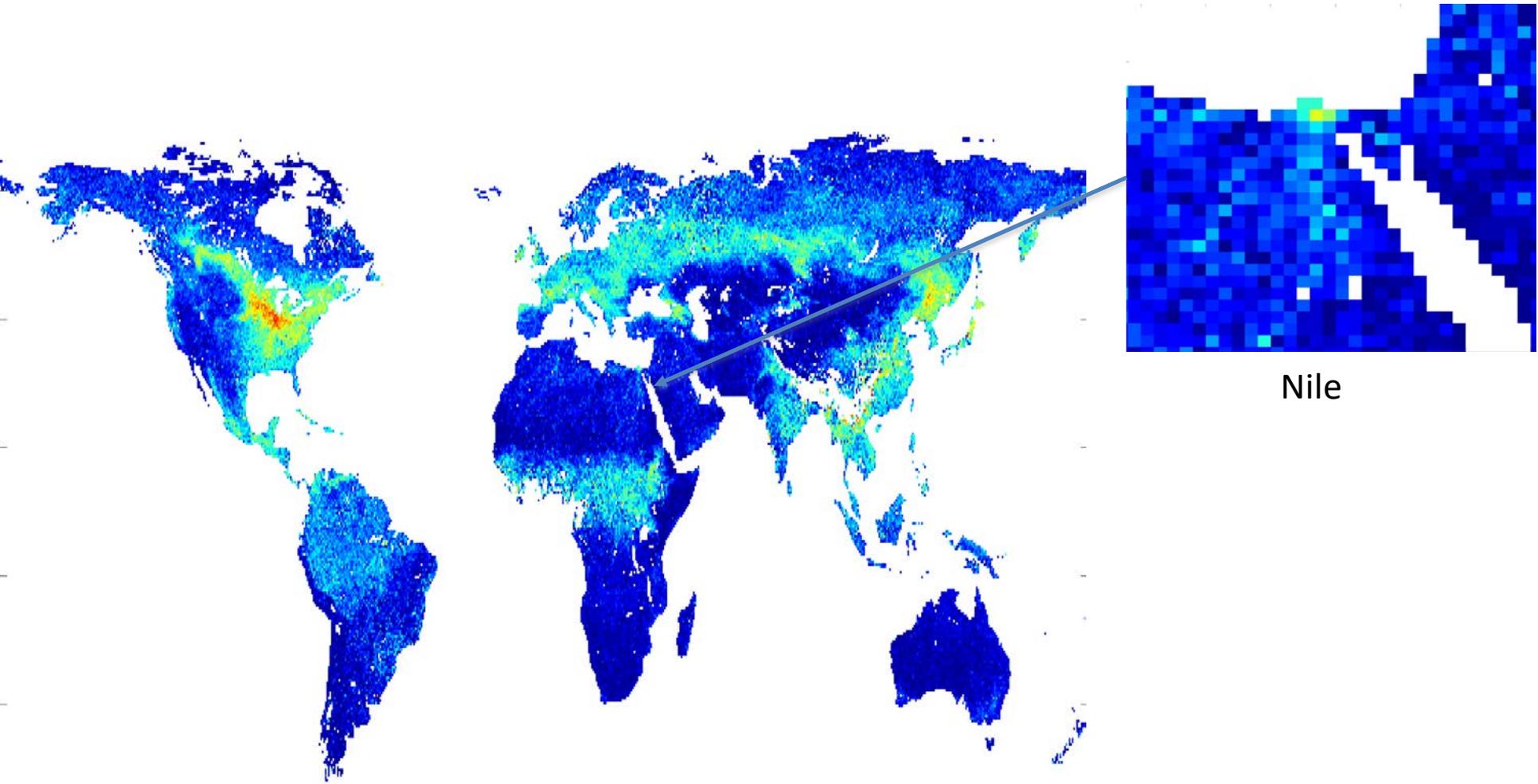
+ less noisy + longer record

**Solution: Use machine learning**



# Is SIF holding its promises?

SIF is VERY noisy (small signal)

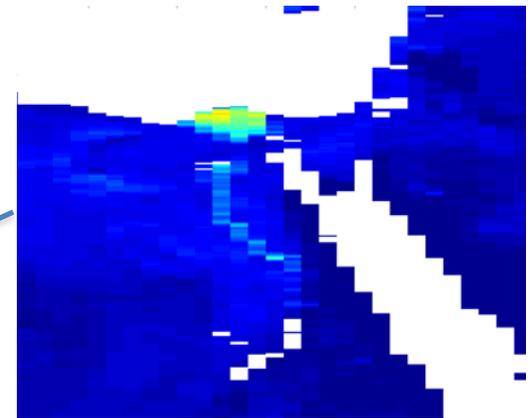
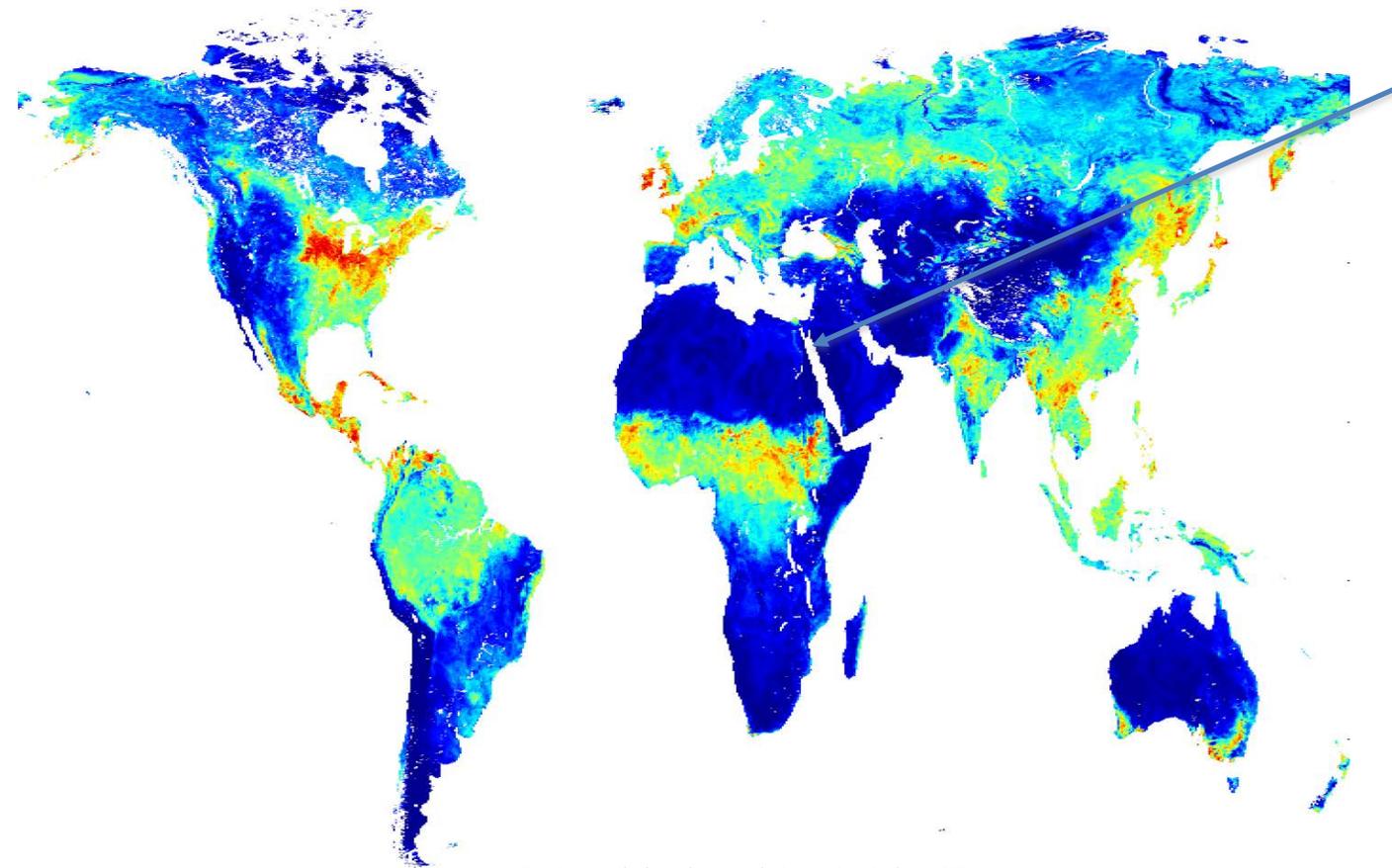


Nile

Gentine and Alemohammad, Reconstructed SIF, *GRL*, in revision

# Is SIF holding its promises?

RSIF strongly reduces noise

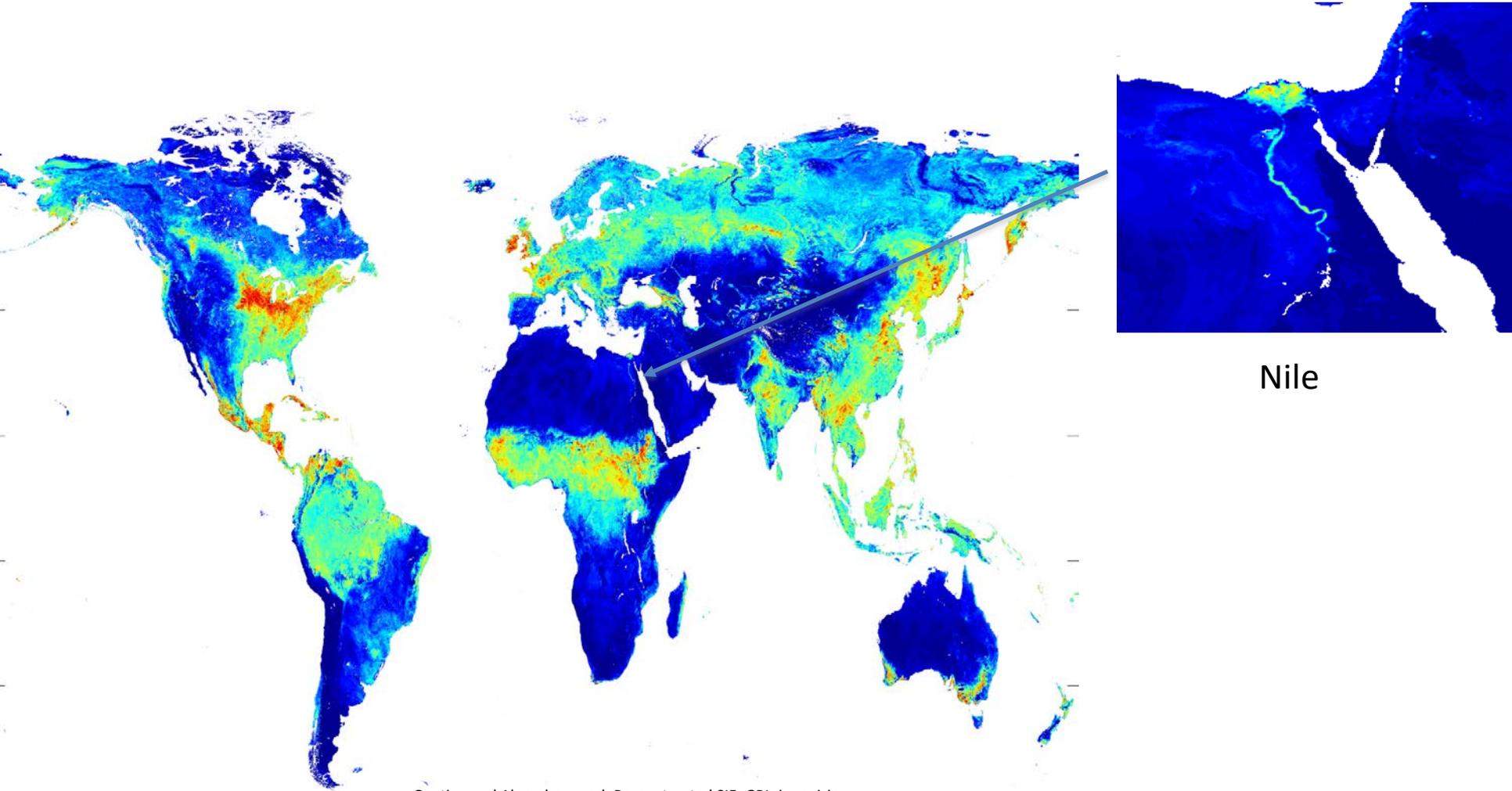


Nile

Gentine and Alemohammad, Reconstructed SIF, *GRL*, in revision

# Is SIF holding its promises?

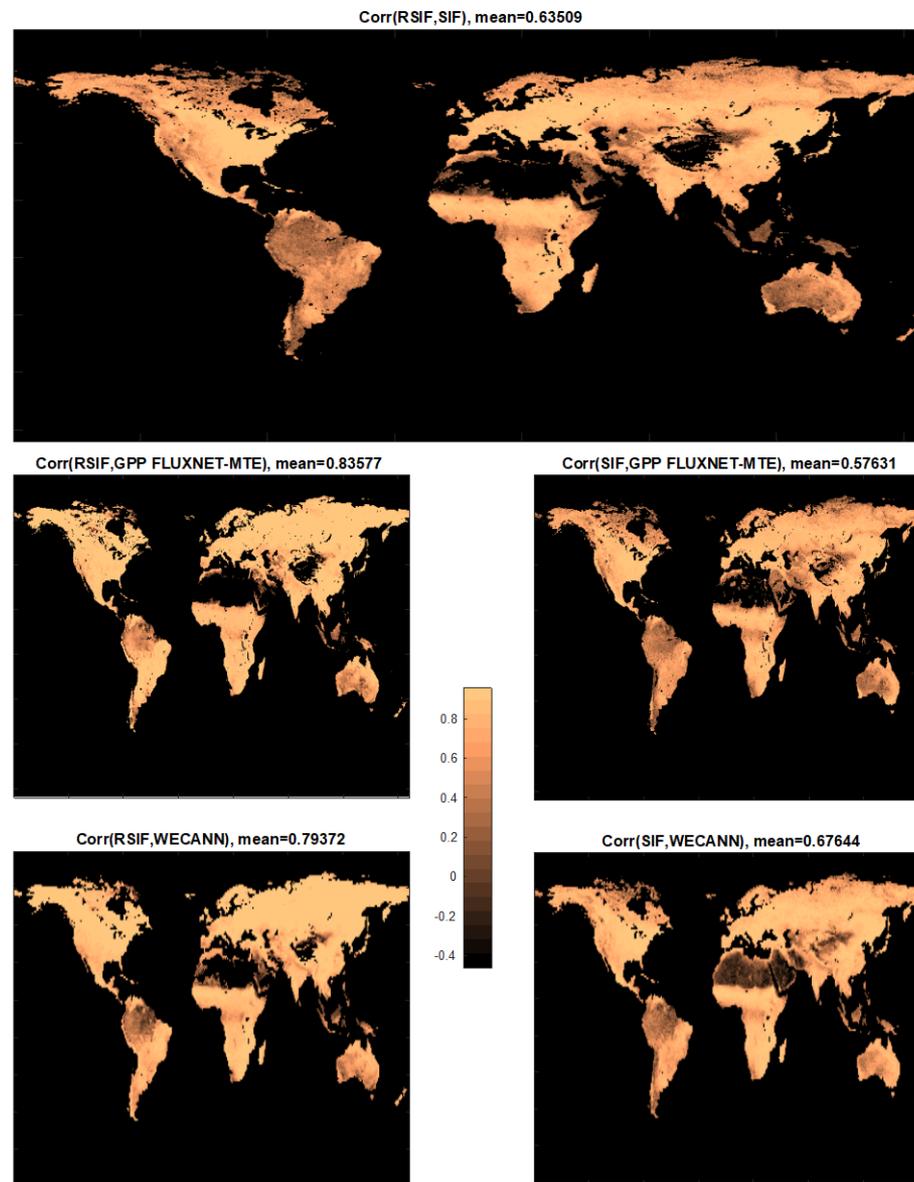
RSIF available at higher resolution – 500m



Gentine and Alemohammad, Reconstructed SIF, *GRL*, in revision

# RSIF: Reconstructed SIF

Machine learning using MODIS raw channels to reproduce SIF



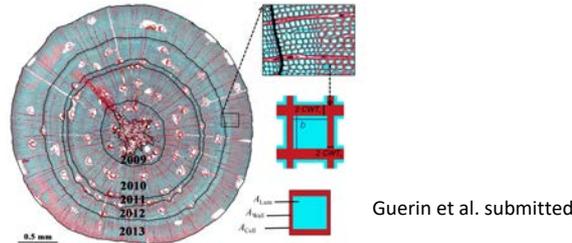
# Next steps

- **Overall objective: Improving prediction of water cycle**

Tools:

- **1) surface observations**

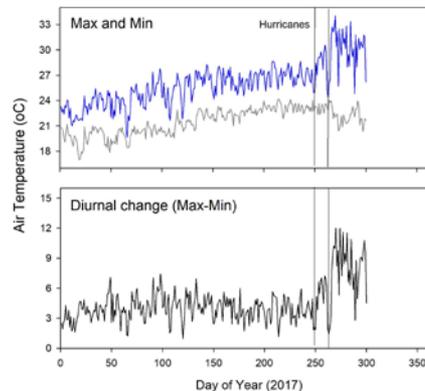
flux towers, soil moisture, hydraulics, radiation, local fluorescence, wood anatomy



- **2) Remote sensing and atmospheric observations**

Microwave, solar-induced fluorescence, atmospheric inversion (temperature, DTR, VPD,  $x\text{CO}_2$ , isotopes (T:ET), OCS)

→ to develop **long-term and consistent observations** (trends)



# Next steps

## 3) High resolution models

- Turbulence: DNS, LES, CRM, observations – key for tropics and cold regions
- Physically based hydrological physiological models

## 4) Theory

Turbulence, land-atmosphere interactions,  
stomatal response to water stress

Use these to improve model physics across scales (time and space)

- Precipitation
- Surface fluxes
- Soil moisture

- Turbulence and atmospheric boundary layer

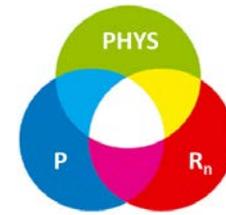
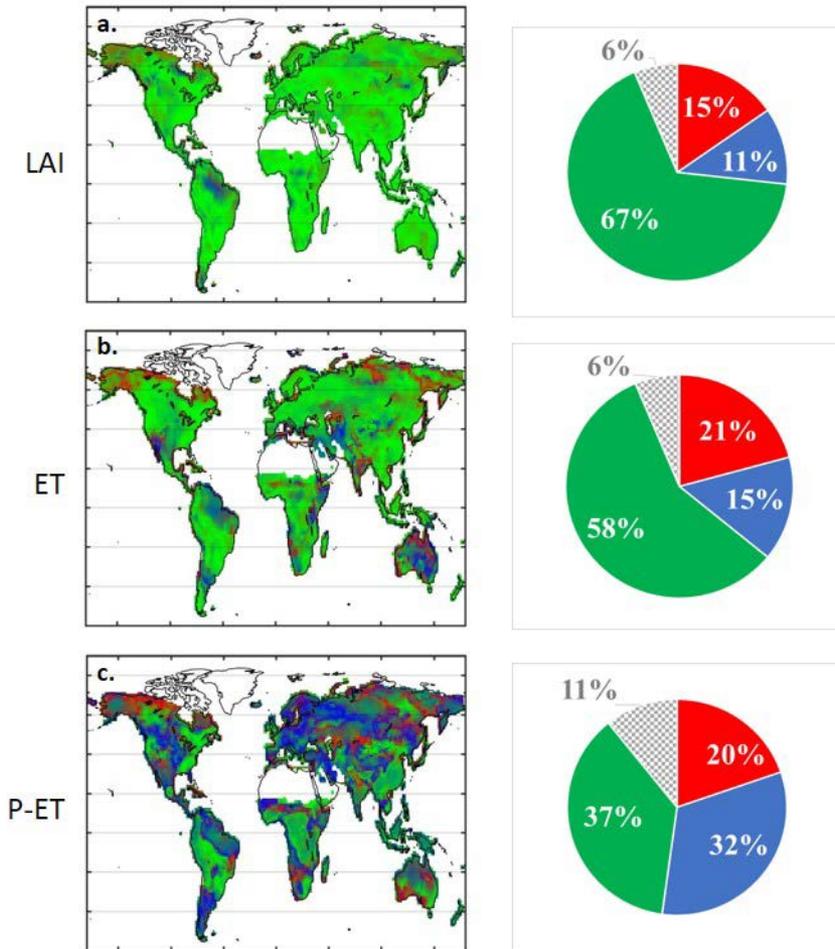
**So we can be more confident and reduce the spread in our climate predictions of the water cycle and coupled carbon cycle**



Is this our future? 😊

Thank you

# Decomposing dryness



$$\Delta X = \left[ \frac{\partial X}{\partial R_n} \cdot \Delta R_n \right]_{ATMO} + \left[ \frac{\partial X}{\partial P} \cdot \Delta P \right]_{ATMO} + [\Delta X]_{PHYS}$$

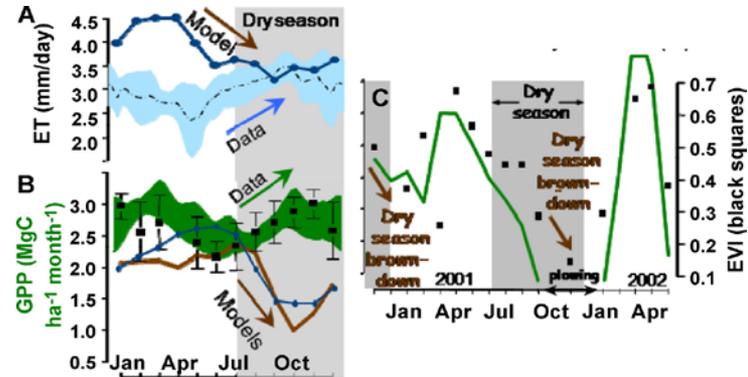
Mostly impacted by CO<sub>2</sub> effects except at cold northern latitudes

Lemordant et al., submitted

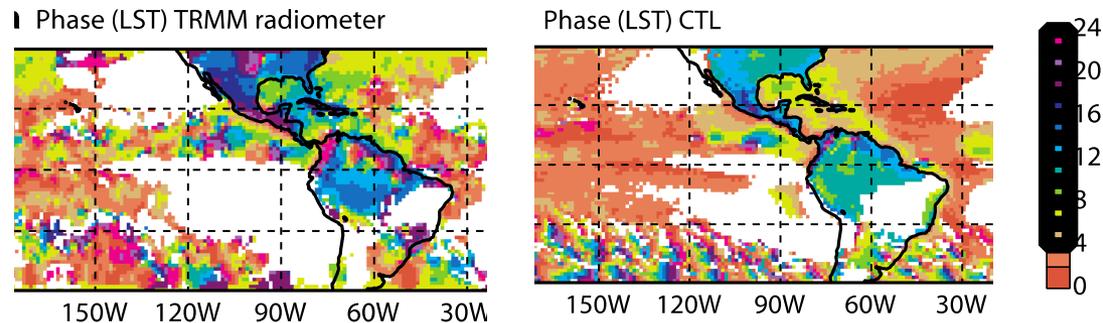
# Diurnal and seasonal cycles

## Important GCMs biases

- Incorrect **surface flux seasonality** in tropical forests (e.g. Amazon)



- Incorrect **diurnal** cycle of precipitation and (less appreciated) **of cloud cover!**

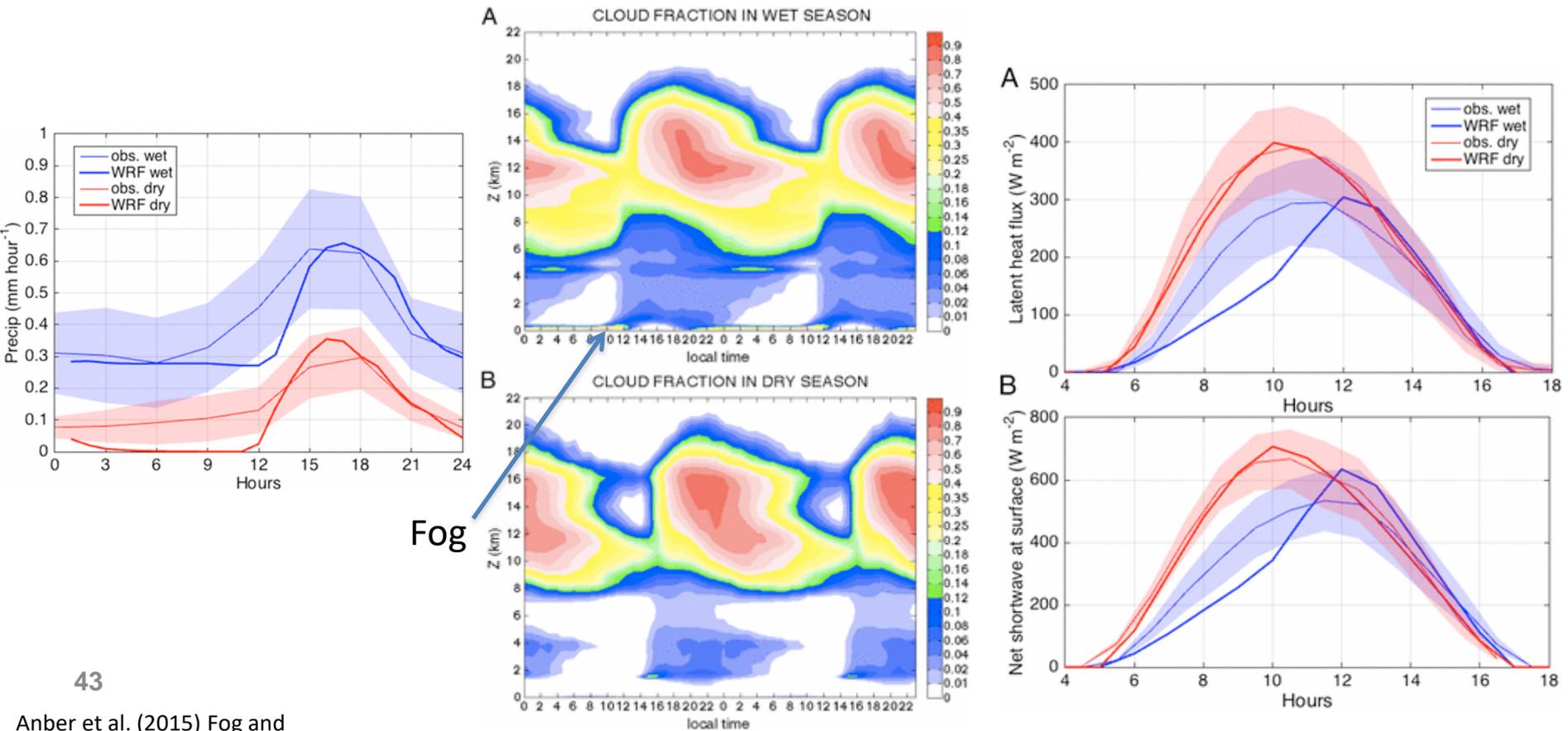


Bechtold et al. (2014)

42

# Diurnal and seasonal cycle

- Example in the Amazon: **Biases are absent** using Cloud-Resolving model with **explicit convection** and **Weak Temperature Gradient** (for large-scale circulation feedback)



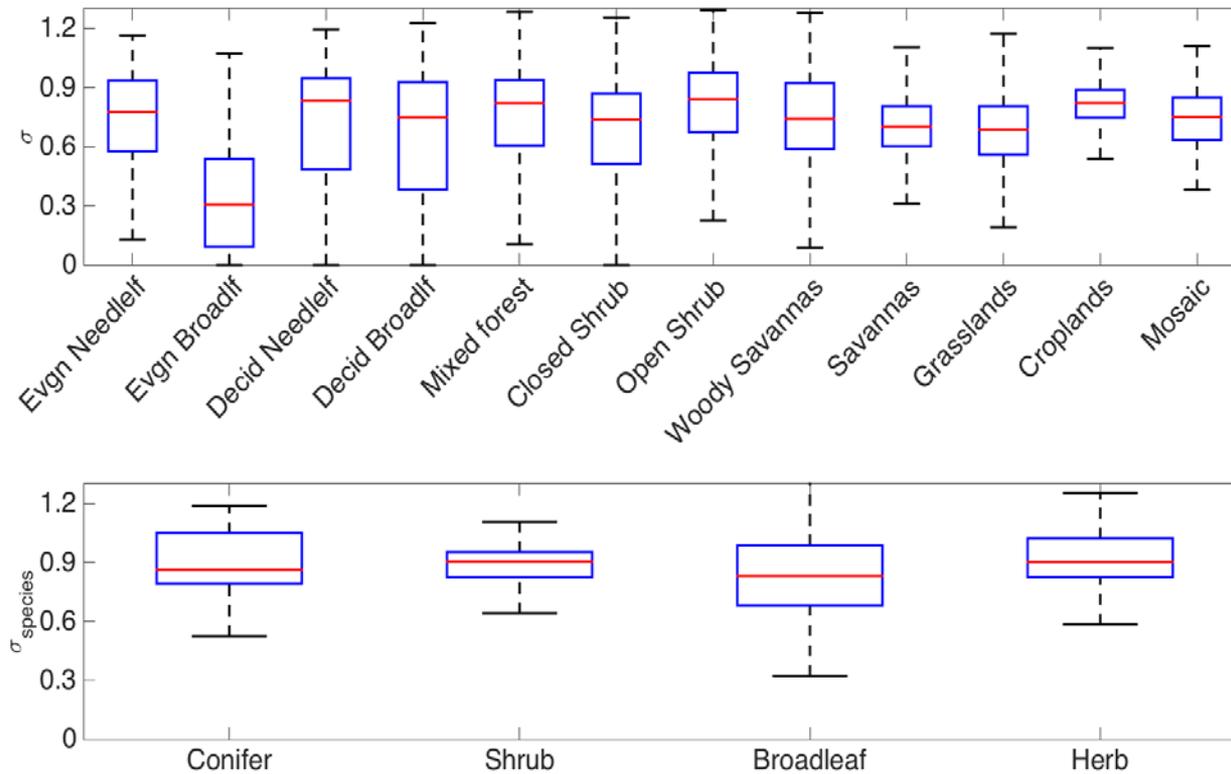
43

Anber et al. (2015) Fog and Rain in the Amazon PNAS

(poster in the afternoon)

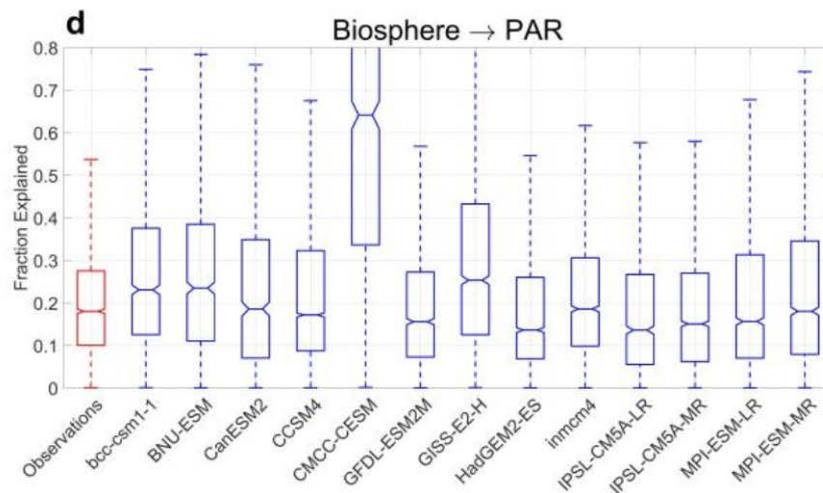
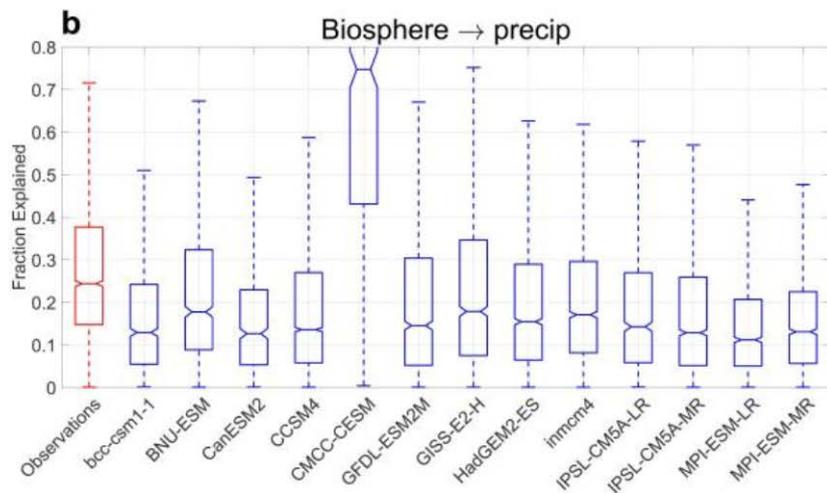
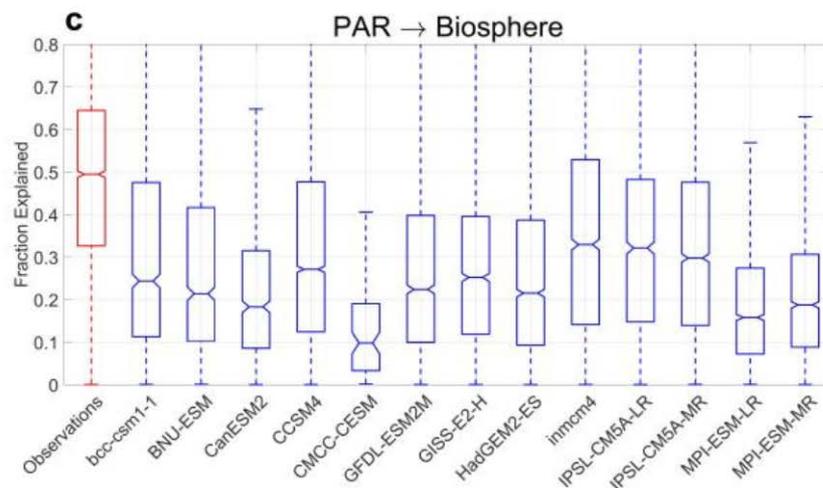
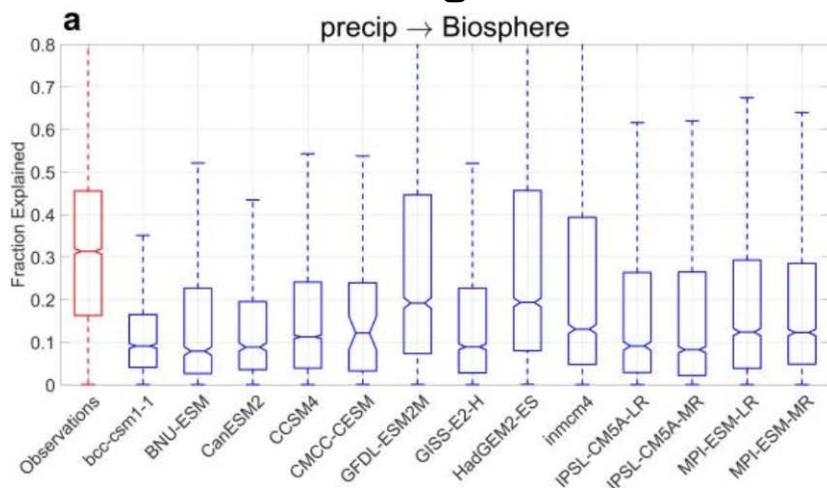
# Comparison w/ static indicator: plant functional types

**Not related to plant functional types (PFT):**  
we need to include hydraulic traits in LSMs

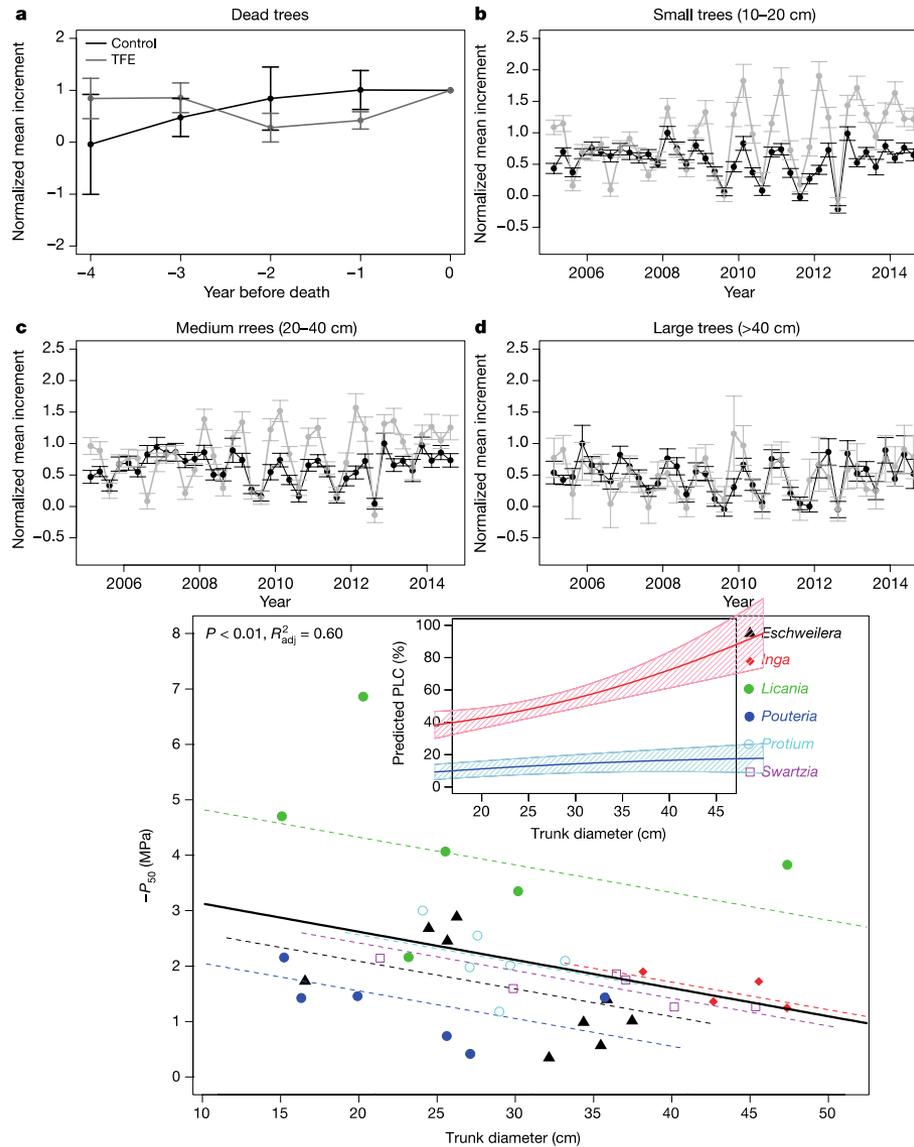


# Vegetation water stress

## More effect of vegetation water stress



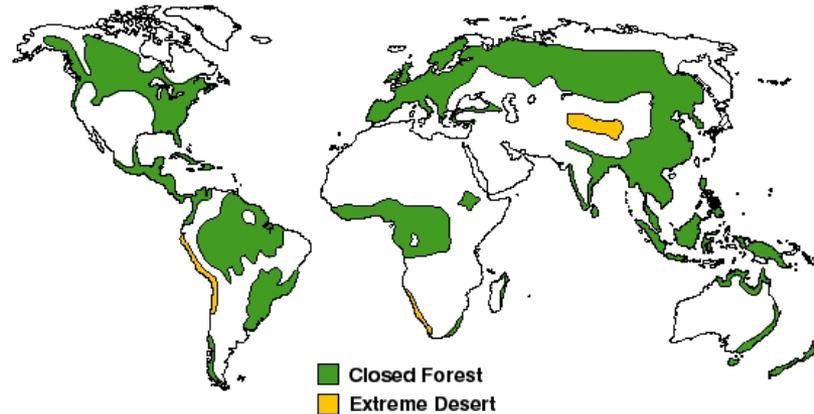
Green et al. Nat Geo 2017



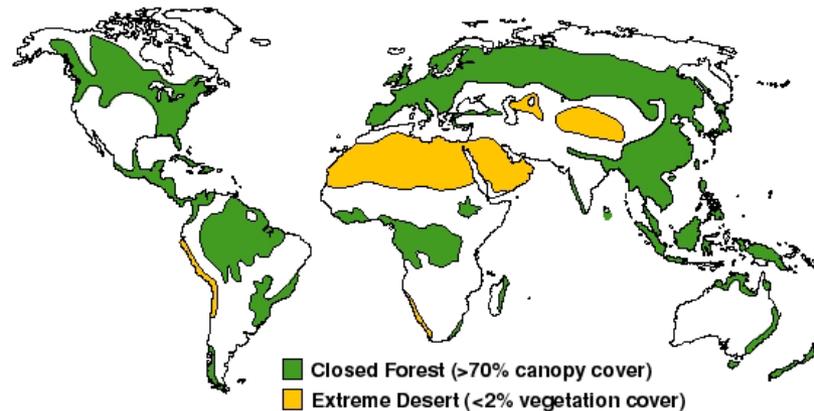
# Wait a second

## What about paleoclimate observations:

Early Holocene (8,000 <sup>14</sup>C years ago)



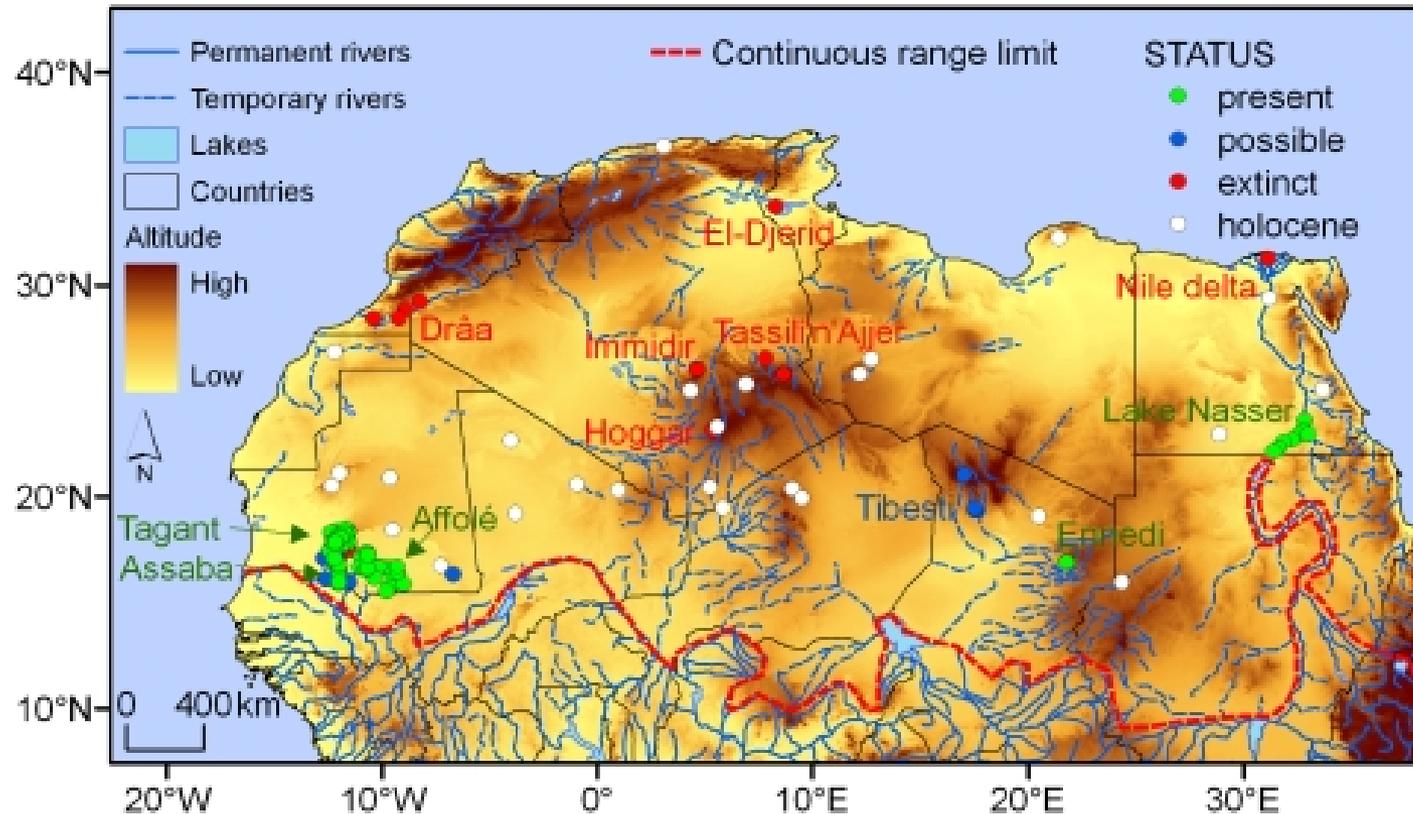
Present Potential Vegetation



**Very different vegetation, Sahara not an extreme desert**

# Wait a second

## What about paleoclimate results:



**Corocodiles (and hippos) in Sahara!**

# Palmer Drought Severity Index (PDSI)

**“PDSI uses a supply and demand model with readily available temperature and precipitation data to estimate relative dryness. It is a standardized index that spans -10 (dry) to +10 (wet). It has been reasonably successful at quantifying long-term drought. As it uses temperature data and a physical water balance model, it can capture the basic effect of global warming on drought through changes in potential evapotranspiration. Monthly PDSI values do not capture droughts on time scales less than about 12 months”**

In other words try to **easily represent soil moisture anomalies**

**Supply - demand**

From NOAA website

# What do observations tell us?

**Wet gets wetter, dry gets drier?**

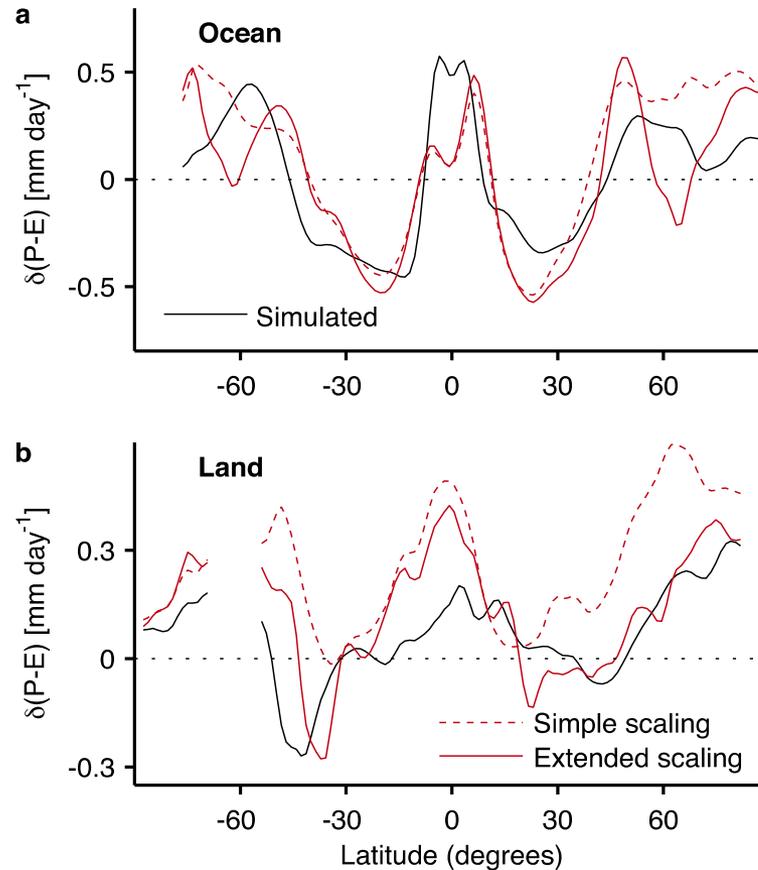
Unclear conclusion

Greve, P., Orlowsky, B., Mueller, B., Sheffield, J., Reichstein, M., & Seneviratne, S. I. (2014). Global assessment of trends in wetting and drying over land. *Nature Geoscience*, 7(10), 716–721.

# What do observations tell us?

## Wet gets wetter, dry gets drier does not hold over land

### P-ET

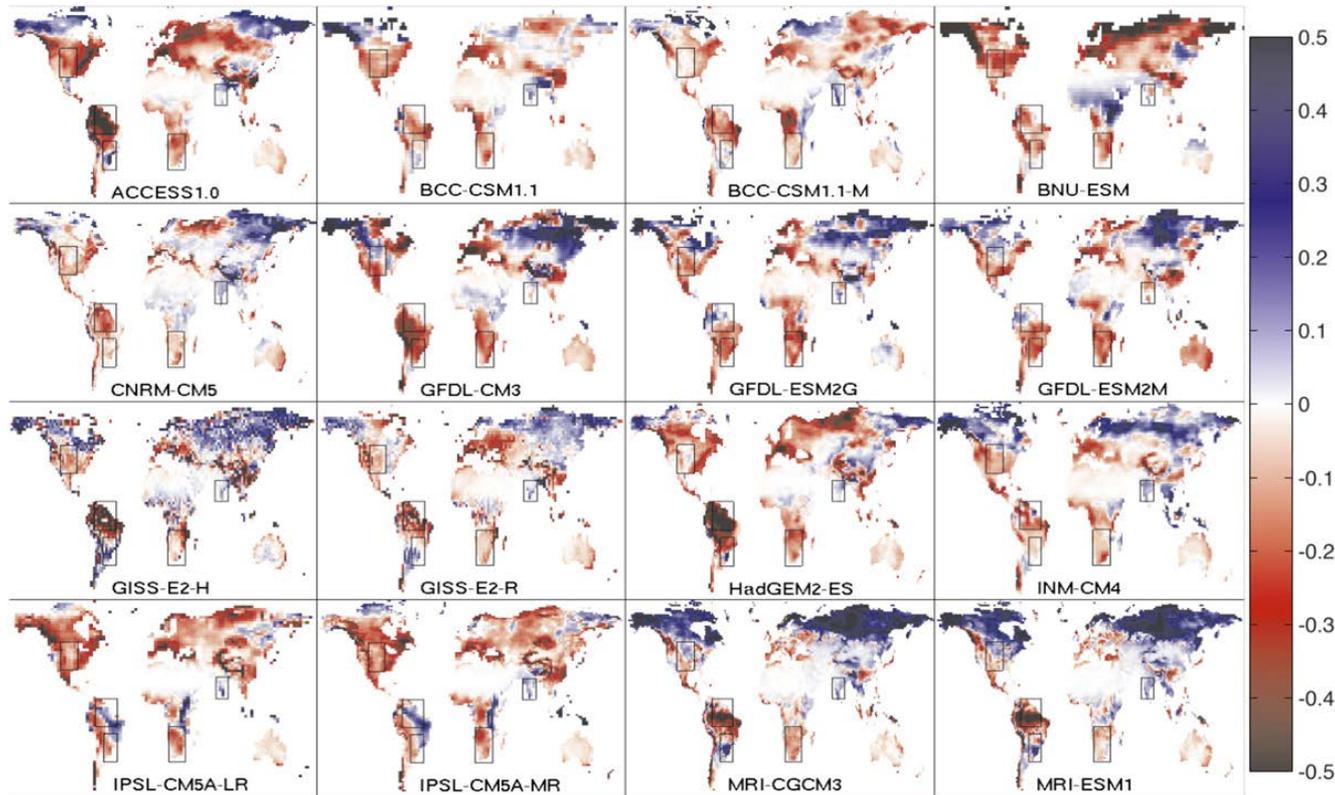


Byrne, M. P., & O'Gorman, P. A. (2015). The Response of Precipitation Minus Evapotranspiration to Climate Warming: Why the “Wet-Get-Wetter, Dry-Get-Drier” Scaling Does Not Hold over Land\*. *Journal of Climate*. <http://doi.org/10.1175/JCLI-D-15-0369.s1>

# What do observations tell us?

## P / Potential ET

Overall drier except in snow/ice regions



1981–99 and 2081–99, for each model.

Scheff, J., & Frierson, D. M. W. (2015). Terrestrial Aridity and Its Response to Greenhouse Warming across CMIP5 Climate Models. *Journal of Climate*, 28(14), 5583–5600.

# What do observations tell us?

$$EF = ET / (H + ET)$$

Not that dry, not that clear

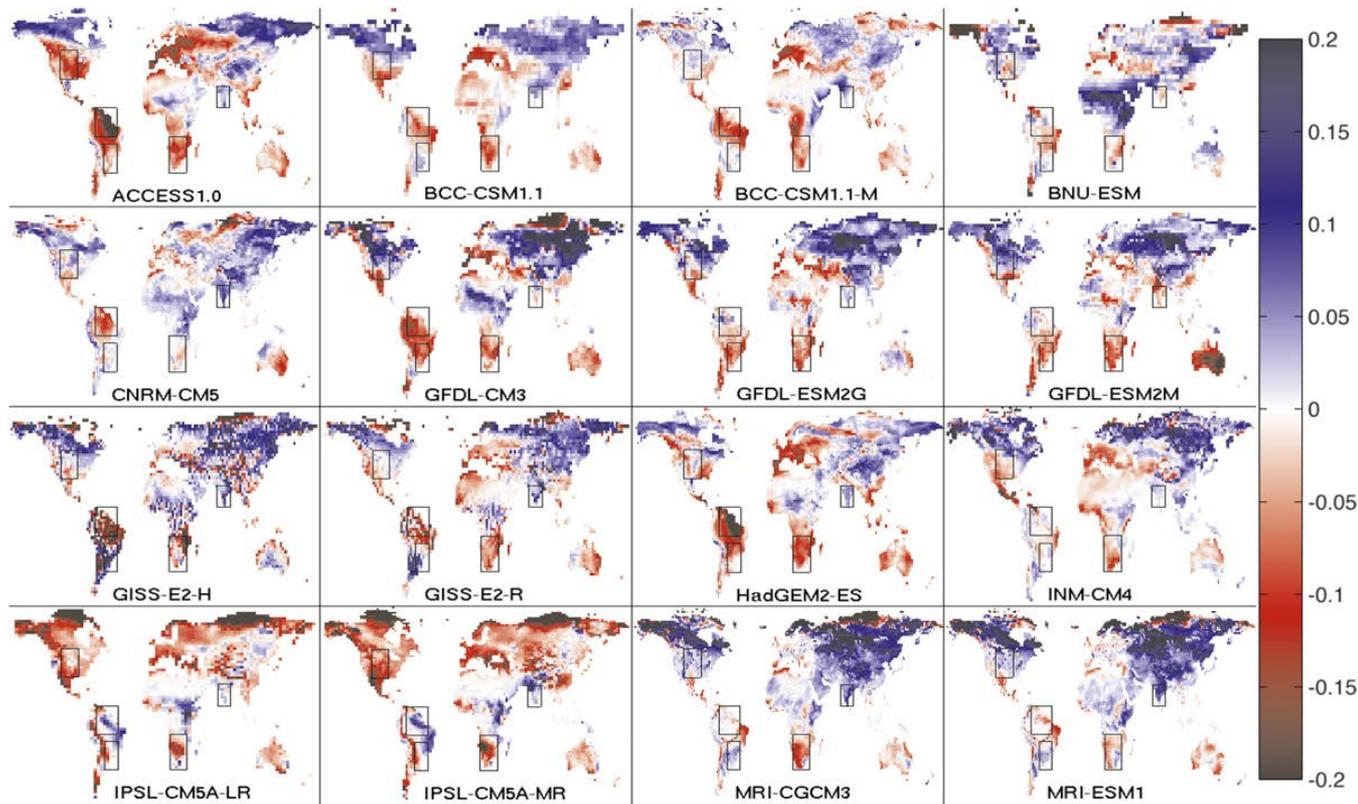
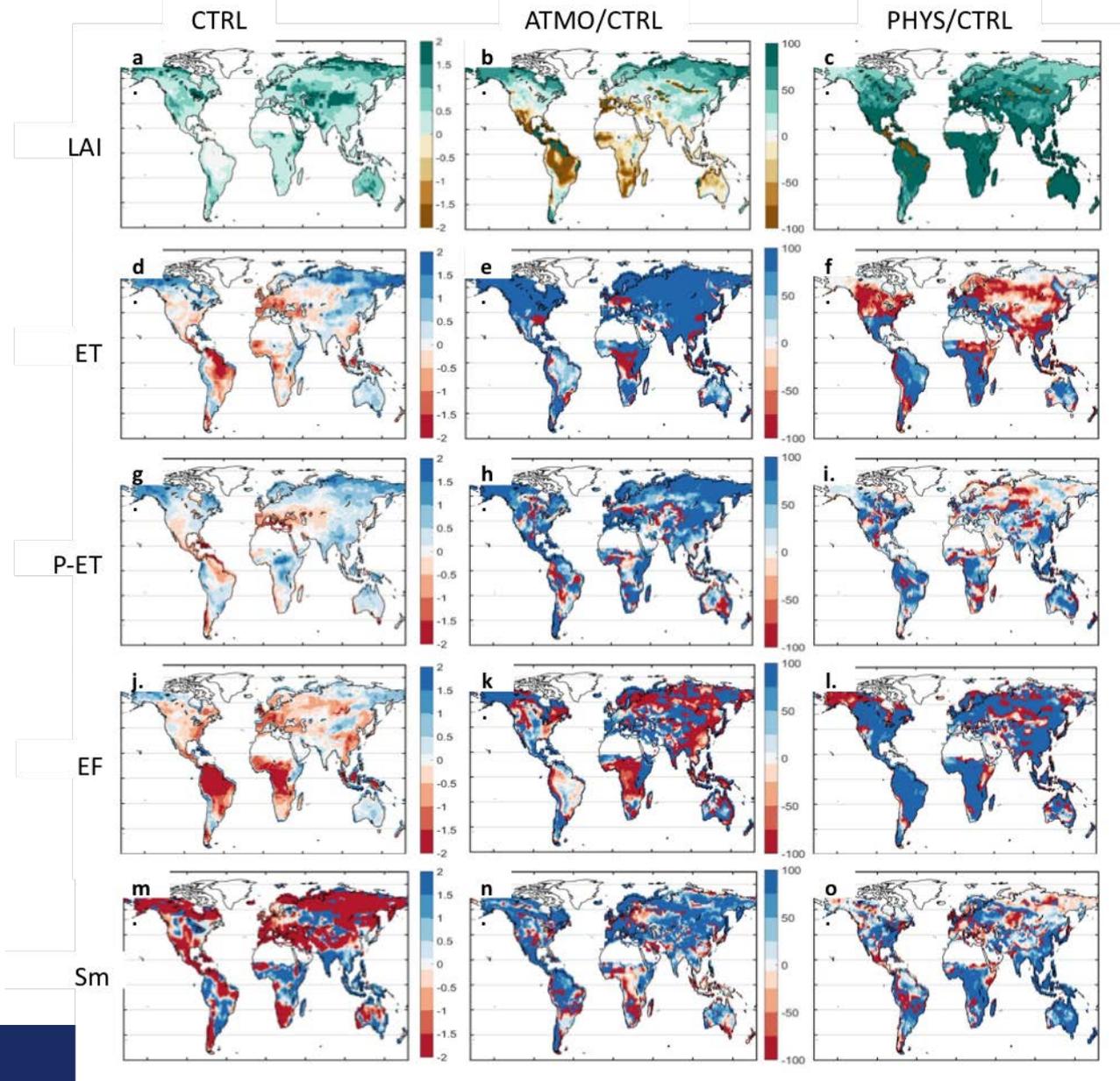


FIG. 15. Changes in evaporative fraction  $LH/(LH + SH)$  between 1981–99 and 2081–99, for each model.

Scheff, J., & Frierson, D. M. W. (2015). Terrestrial Aridity and Its Response to Greenhouse Warming across CMIP5 Climate Models. *Journal of Climate*, 28(14), 5583–5600.

# Drying?



# Problems

What are the problems of assessing dryness over land using P-ET/P-Ep?

Over the Ocean

- ET=Ep, so directly P-Ep

-Precipitation increases ~ based on Clausius-Clapeyron  
(Column water vapor - holding capacity of atmosphere)

$$e_s(T) = e_{s0} \exp\left(\frac{L_v}{R_v} \left[\frac{1}{T_0} - \frac{1}{T}\right]\right)$$

1% T increase -> 7% increase in  $e_s(T)$

-Evaporation increases as Sea Surface Temperature (SST) increases,  
also linked to Clausius-Clapeyron

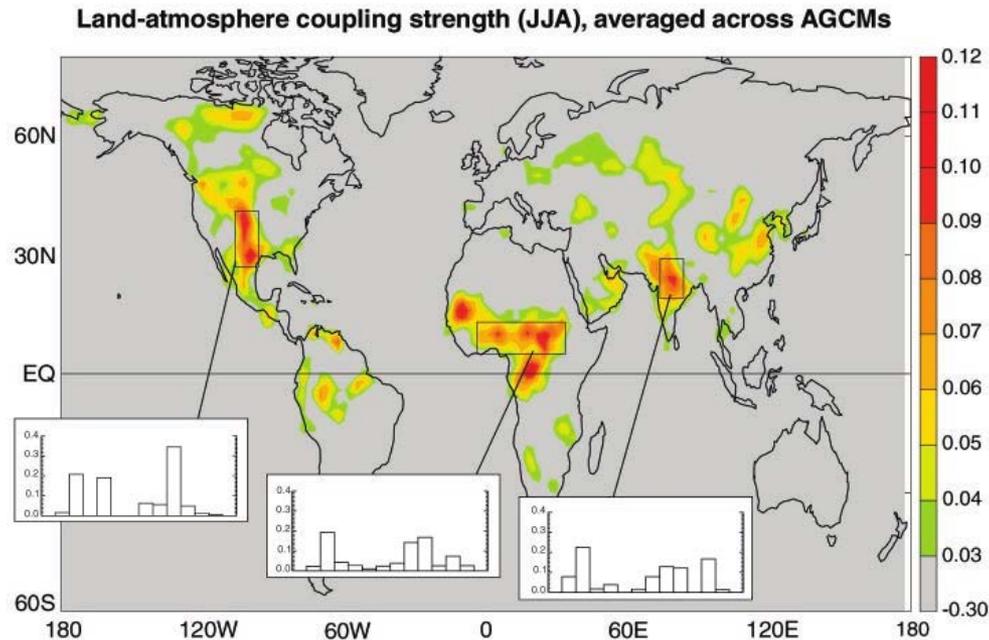
-Dynamics in addition to that (expansion of Hadley cell for instance)



# How can we assess role of the surface?

- **Climate models:**

define an experiment to isolate feedback (e.g. GLACE: impose soil moisture)



Koster, R. D. et al. (2004), Regions of strong coupling between soil moisture and precipitation, *Science*, 305(5687), 1138–1140.

**Fig. 1.** The land-atmosphere coupling strength diagnostic for boreal summer (the  $\Omega$  difference, dimensionless, describing the impact of soil moisture on precipitation), averaged across the 12 models participating in GLACE. (**Insets**) Areal averaged coupling strengths for the 12 individual models over the outlined, representative hotspot regions. No signal appears in southern South America or at the southern tip of Africa.

# Land-atmosphere interactions

Impact of soil moisture dynamics on extreme temperatures Tx



Seneviratne et al. (2016), *Nature*

# Solar Induced Fluorescence (SIF)

## □ Solar-Induced Fluorescence (SIF)

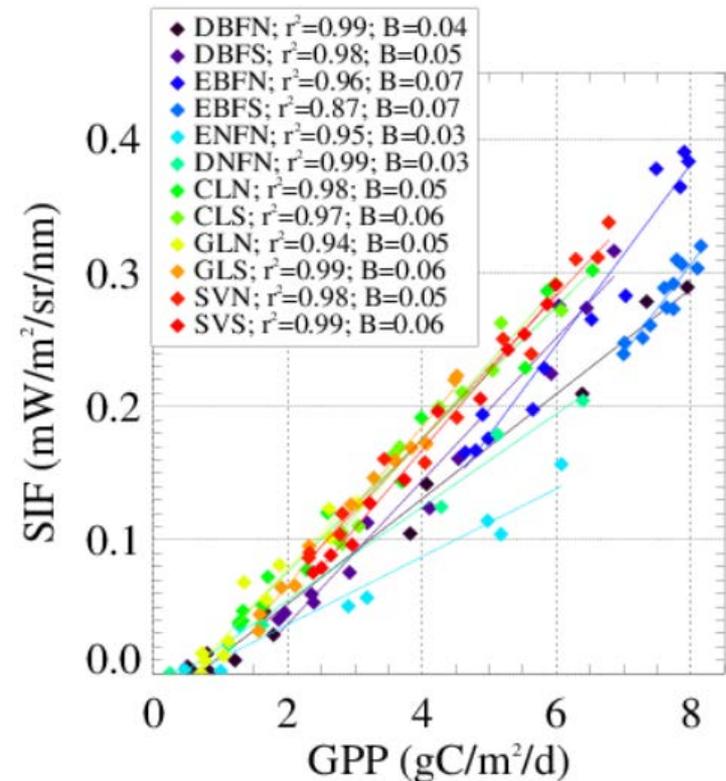
During photosynthesis a plant absorbs energy through its chlorophyll

- % used for ecosystem gross primary production (GPP)
- % lost as heat
- % re-emitted (SIF: **byproduct**)

Relationship between GPP  
and SIF is  $\sim$  linear

Responds to stressors  
(water, light, T)

We can then relate surface flux to water stress



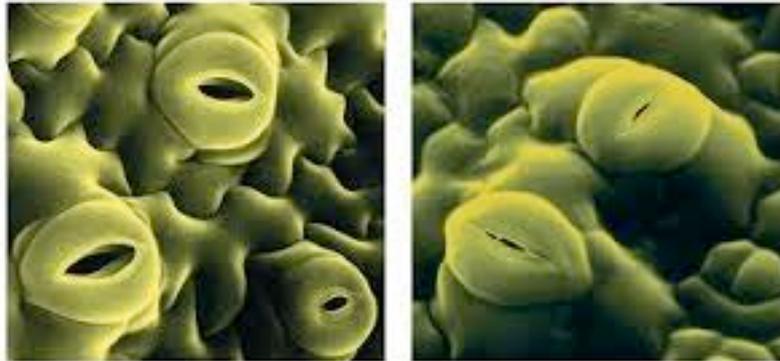
Guanter, L., et al. 2013

# Solar Induced Fluorescence (SIF)

How can we constrain ET magnitude?

GPP ( $\text{CO}_2$  uptake) is directly related to transpiration  $T$  ( $\text{H}_2\text{O}$  release)

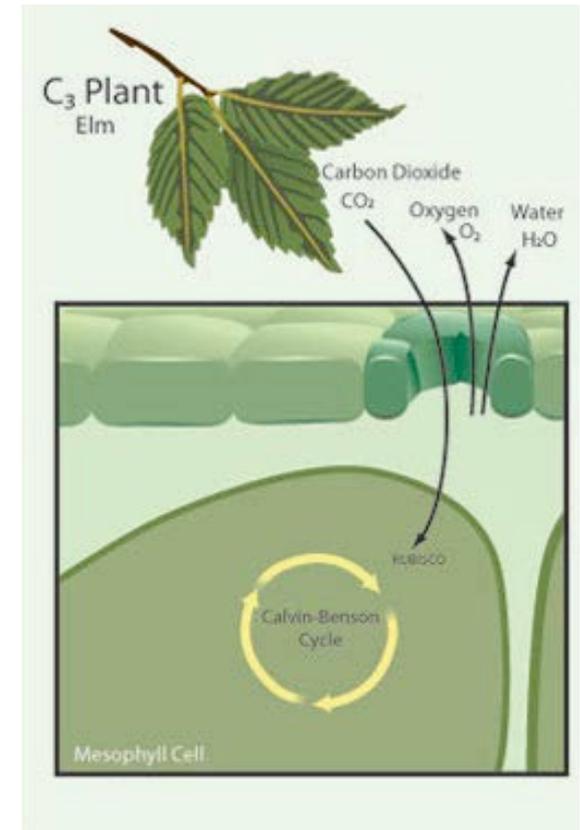
$$GPP = wue T$$



(a) Stomata open

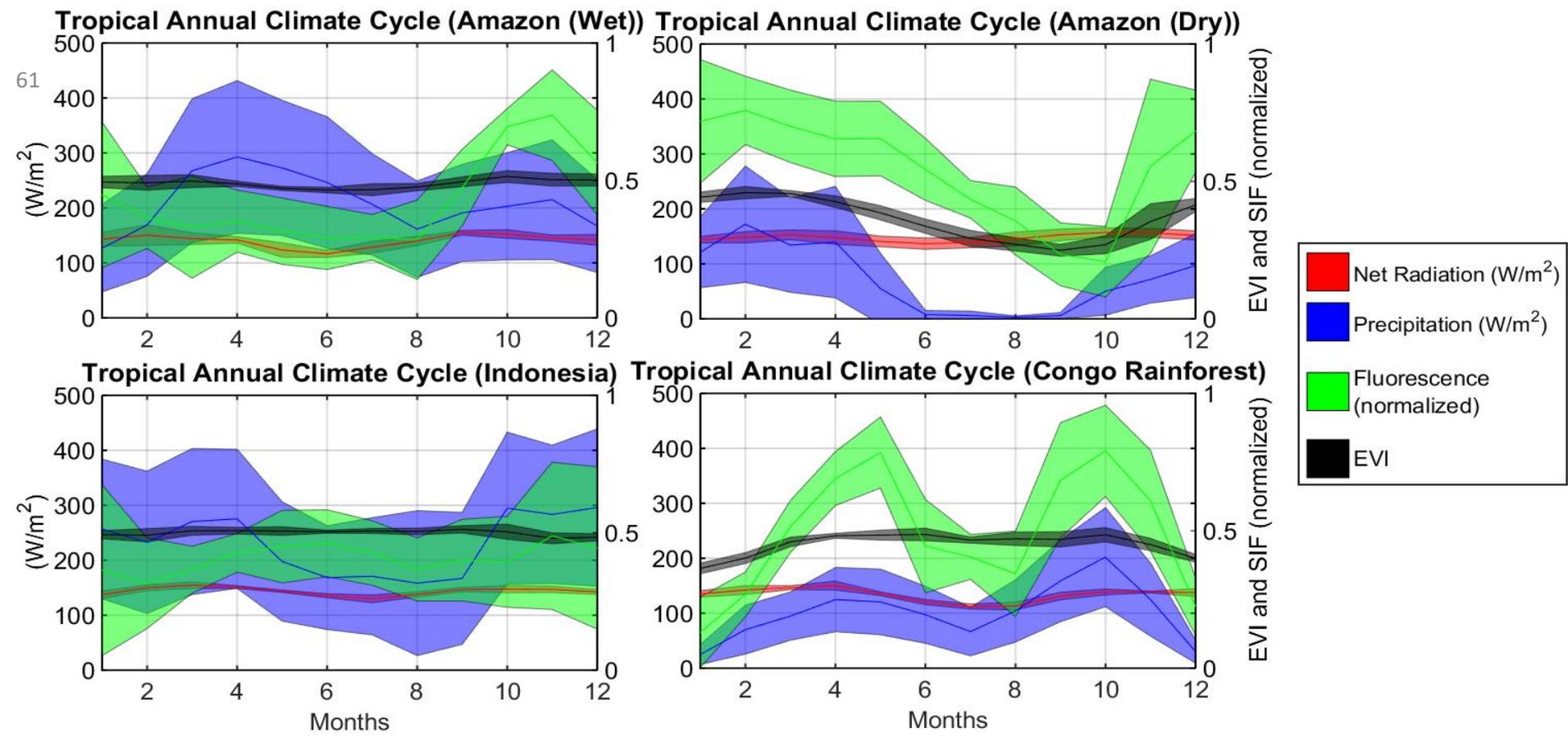
(b) Stomata closed

© 2011 Pearson Education, Inc.



SIF is thus a good **proxy** for  $T$  (main flux)

# Example: Tropical Climate



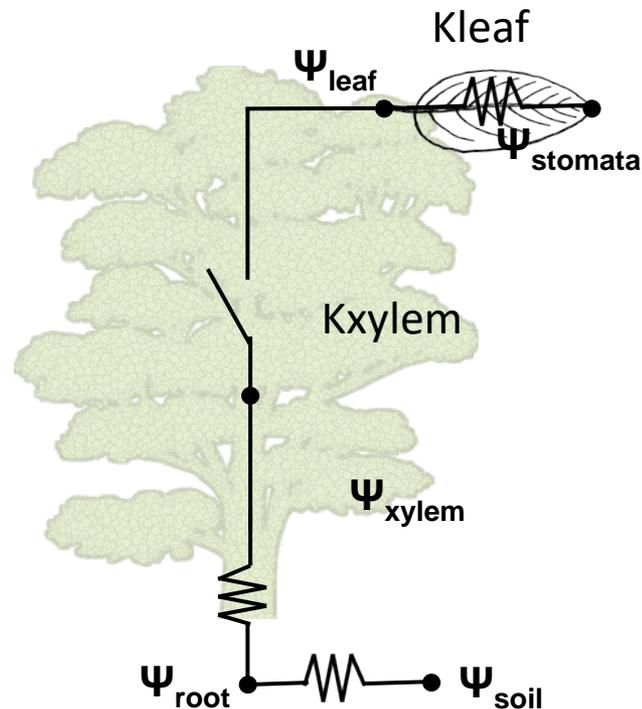
Except for Indonesia all tropical regions exhibit some seasonal cycle due to light/water limitations

# Anisohydric

**Anisohydric** species are more sensitive to soil moisture state

**Bottom up stress**

$\Psi_{\text{leaf}}$  drops drastically

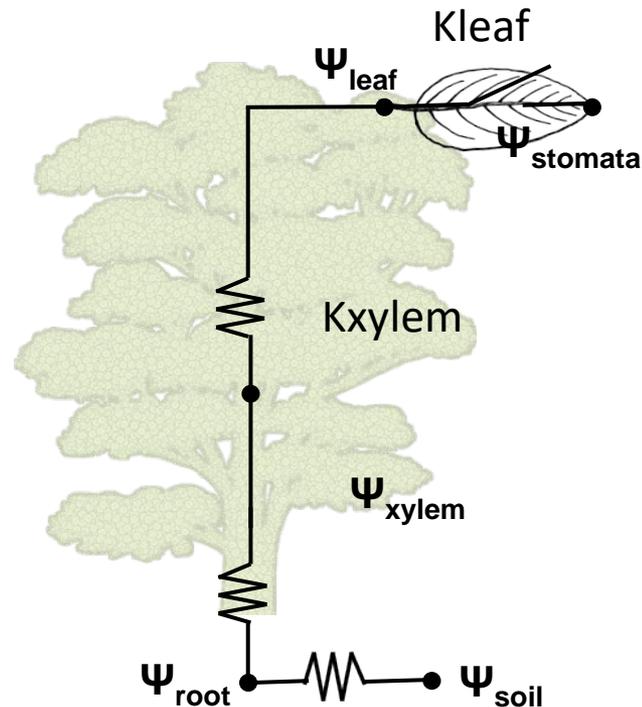


# Isohydic

**Isohydic** species are more sensitive to VPD and atmospheric demand

**Top down stress**

$$\Psi_{\text{leaf}} \sim \Psi_{\text{soil}}$$

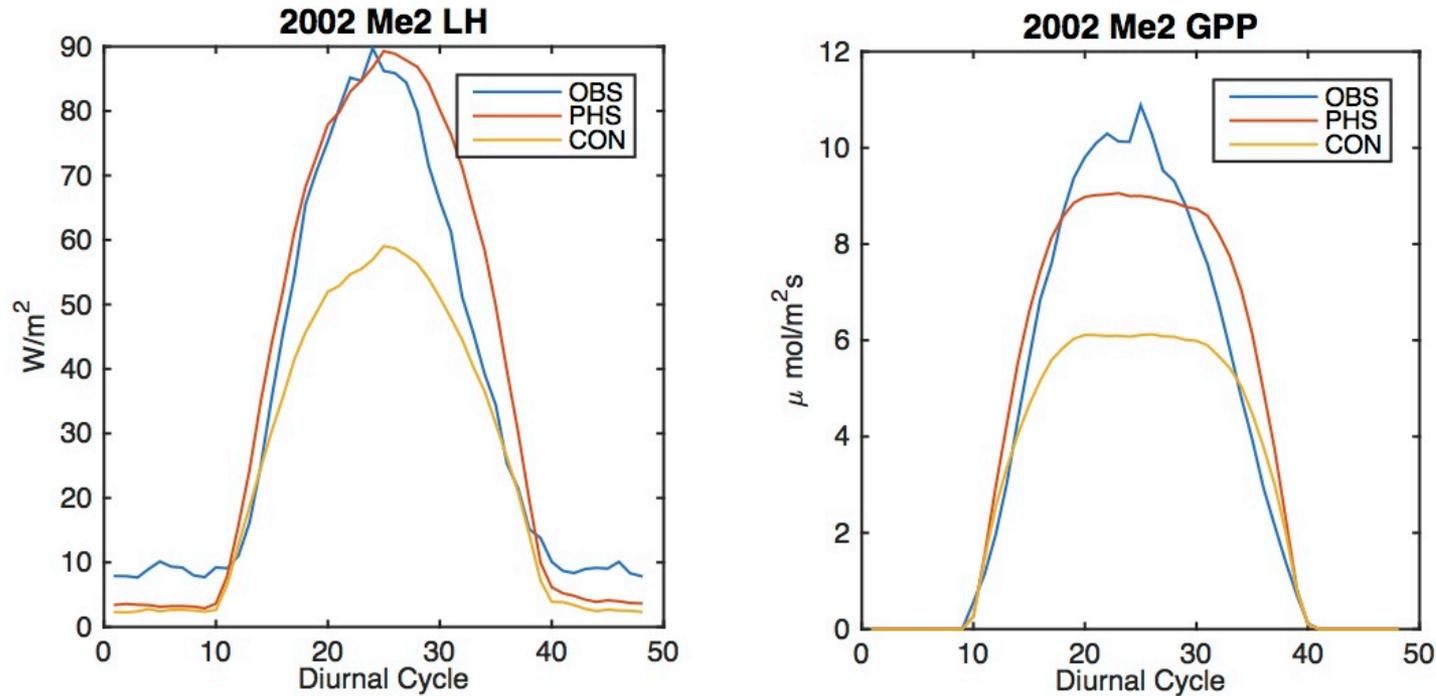


Kennedy et al. in prep  
CLM implementation

**Both VPD and soil moisture regulate vegetation stress and EF**

# Model implementation

## More resilient ecosystems

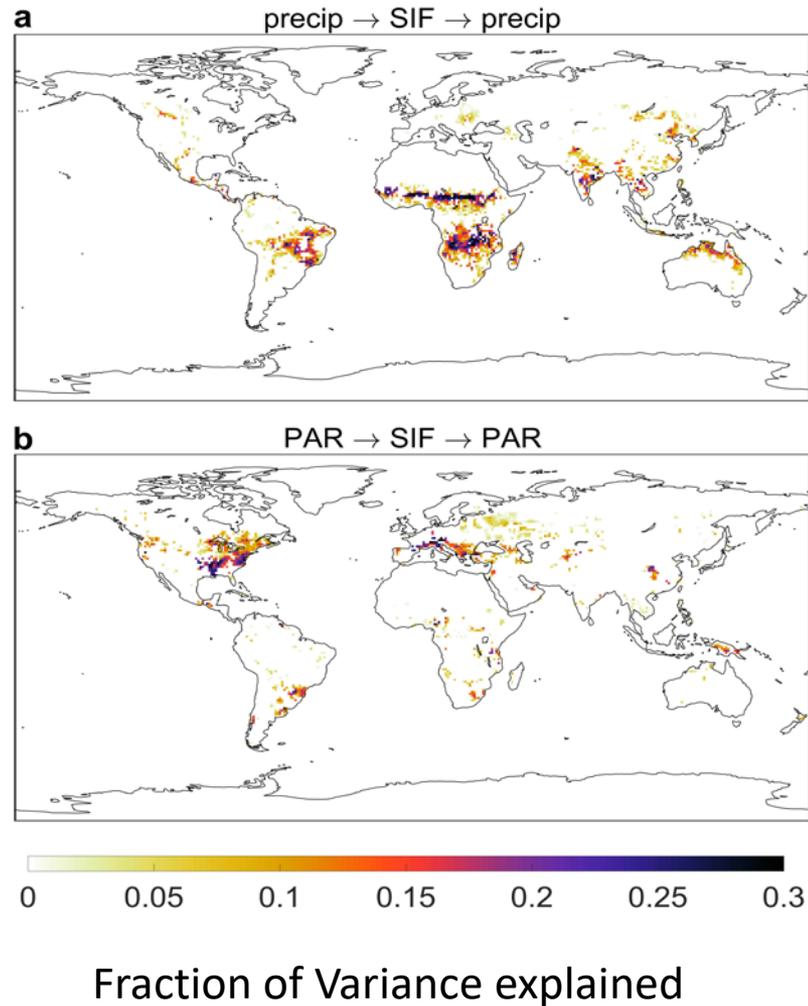


Kennedy et al. in prep  
CLM implementation

In this example: can still perform photosynthesis under water stress  
Down potential gradient flow, instead of bulk average in root zone

# Causal biosphere-atmosphere feedbacks

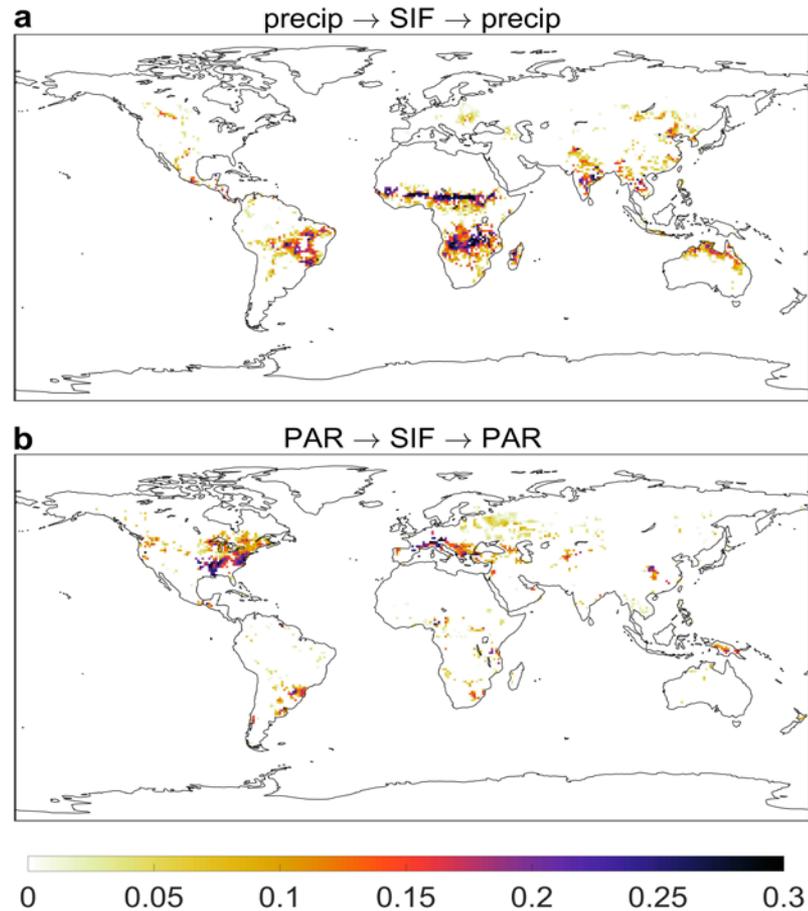
- Feedback strength



Green, Gentile et al. in revision

# Causal biosphere-atmosphere feedbacks

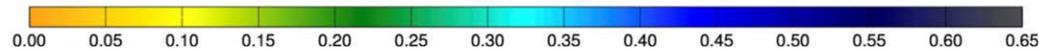
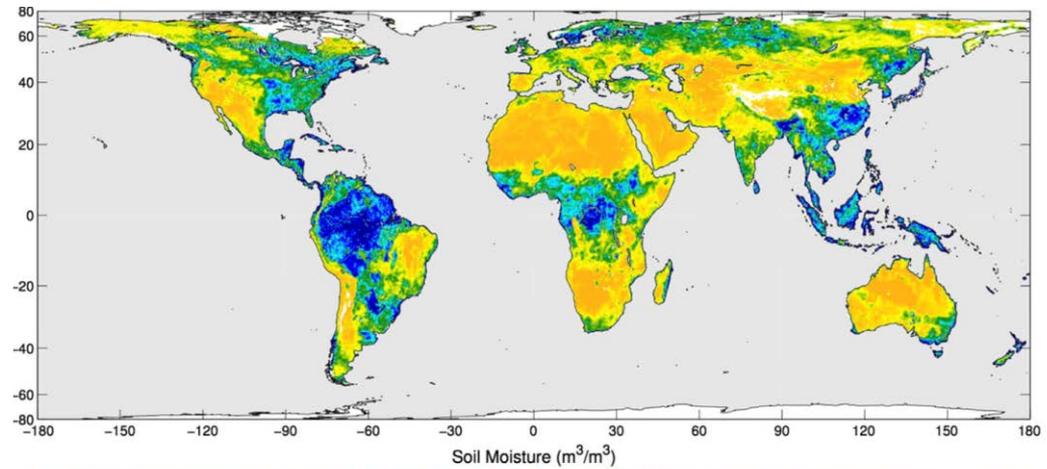
- Feedback strength: comparison with models



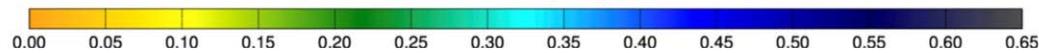
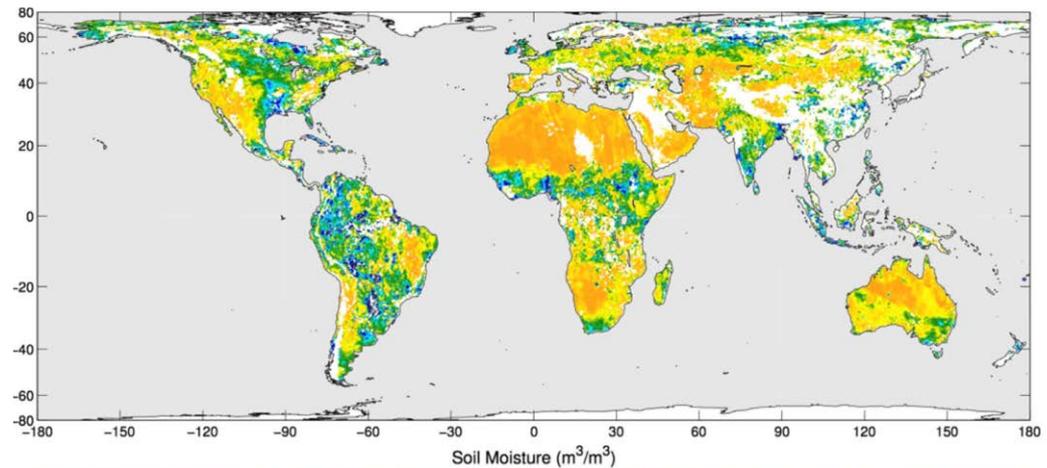
Fraction of Variance explained

Green, Gentile et al. in revision

# Soil moisture: SMAP/SMOS



(a) SMAP Level 2 soil moisture between June 1–7, 2015 (version T11880)



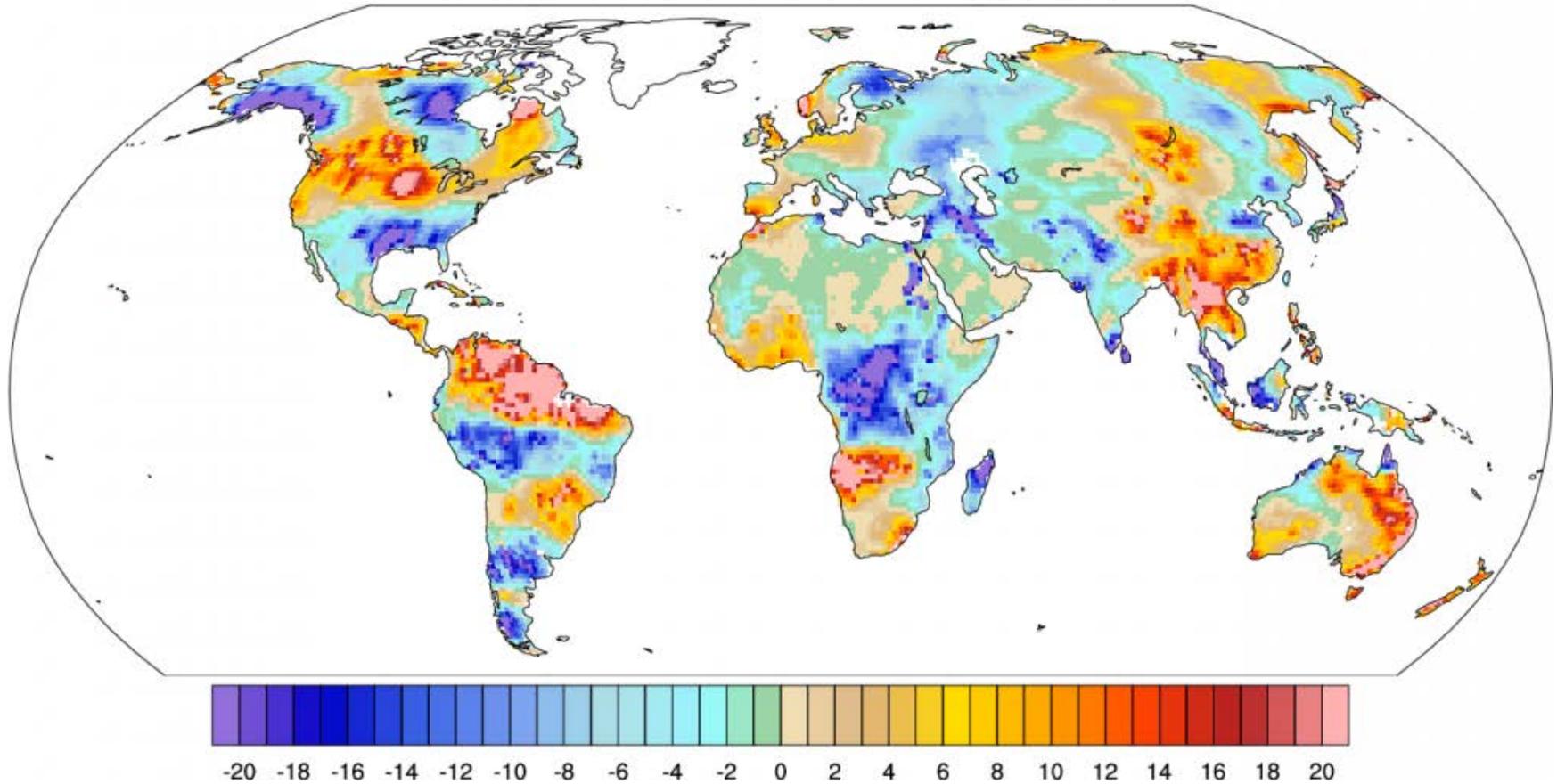
(b) SMOS Level 3 soil moisture between June 1–7, 2015 (version 300)

SMOS and SMAP (better): but too short to look at trends

# Total water: GRACE

**GRACE: 201107**

Anomaly Liquid Water Equivalent Thickness (cm)



Coarse but long term so can be used to understand trends